2975. Application of time-frequency method for research on influence of locomotive wheel slip on vibration

Rafał Burdzik¹, Łukasz Konieczny², Piotr Deuszkiewicz³, Iveta Vaskova⁴

^{1, 2}The Silesian University of Technology, Gliwice, Poland
³Warsaw University of Technology, Warsaw, Poland
⁴Technical University of Kosice, Kosice, Slovakia
¹Corresponding author
E-mail: ¹rafal.burdzik@polsl.pl, ²lukasz.konieczny@polsl.pl, ³uszy@simp.pw.edu.pl,
⁴iveta.vaskova@tuke.sk

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Abstract. Wheel-rail contact phenomena is problem considered in many scientific manuscripts. Mostly the research is focused on wear, friction and forces analysis. This paper presents research on rail vibration generated due to rail vehicle passage. The problem investigated was the influence of wheel slip phenomena on vibration of rail. The locomotive wheel slip can be caused due to braking, flat wheel or defect (wear) of rail surface. Therefore, results of presented investigations can be useful for many applications, including rail vehicle driving monitoring system, control system, especially due to braking distance or diagnostics of wheels of railway tracks. The research was taken place on experimental test track. For the complex analysis horizontal and vertical vibration were measured. The investigation requires regular train vehicle drive condition, where the wheels are rolling on the rail surface without wheel slip and second case for drive process including wheel rolling and wheel slip (friction rolling and sliding). The vibration signals are non-stationary thus its processing should be conducted simultaneously in the time and frequency domain, as time-frequency representation (TFR). For this purpose, Short Time Fourier Transform (STFT) and Continuous Wavelet Transform (CWT) can be employed.

Keywords: TFR, rail vibration, wheel slip.

1. Introduction

Wheel-rail contact is very important for safety of rail vehicle, thus it is scientific problem considered by many researchers [1, 2, 6-8, 17]. This paper presents research on rail vibration generated under the wheel-rail contact of driving rail vehicle. These are rolling vibration propagate as structural waves generated by the combination of small-scale undulations on the contact area surfaces. When consider contact phenomena due to sources of vibration the profile irregularity of a rail surface is one of the main one [9, 12, 15]. But for real condition there are much more important factors and sources of vibration, especially when consider dynamical phenomena occurred during driving of rail vehicle. When wheelsets suffer variational traction/braking torque or a stick/slip vibration, the dominant vibration frequency is the longitudinal frequency of contact patch. As the frequency of contact patch is a specific value, it produces the periodic impact to the wheels as well as to the wear of wheel rim, this may lead the polygonization of wheels [10]. It is well known that in the contact zone between railway wheel and rail the surfaces must be strong enough to resist the normal (vertical) forces introduced by heavy loads and the dynamic response induced by track and wheel irregularities. The dynamic phenomena accompanying the wheel rolling over a road (rail, track), with lateral slip effects occur in rolling of a wheel and wheelset on a straight track in the case of lateral load and especially on curves [14, 16, 18]. Different curvature radii and rotary oscillations of wheelsets result in skew rolling and, in turn, in lateral slip oscillation in the contact zone between the wheel and rail. It significantly increases noise, vibrations and wear [13].

2. Research methodology

The research was conducted on experimental rail track. The experimental rail track is consisted of pair rails mounting on wood sleepers joined by rail pads. The test 2-axle bogie vehicle was driving on this rail track. The view of the experimental rail track has been depicted in the Fig. 1.



Fig. 1. Experimental rail track

The investigations require measurement of 3-axial vibration due to different properties of lateral and longitudinal or vertical waves propagation. The two cases were investigated. First was conducted for the regular drive condition where the wheels are rolling on the rail surface without wheel slip. Second case study for drive process including wheel rolling and wheel slip (friction rolling and sliding).

3. Measurement system

The acceleration of vibration was measured by acceleration sensors. The accelerations were recorded with the use of specially mounting kit for accelerometers (Fig. 2). It is due to assumption of universal method of sensors placement for the different type of rail. Also, very important is to ensure electrocompability of the measurement system not to influence on railway power loop [19]. Method developed for the measurement, as presented mounting kit, allows to ensure repeatability of results.





Fig. 2. Location of sensors (special mounting kit)

4. Method of analysis

As the result of the research the vibration signals were collected. The signals are non-stationary thus processing should be conducted simultaneously in the time and frequency domain. Such approach requires time-frequency transformation of the signal. This paper presents possibilities of STFT and CWT transformations application for purpose of time-frequency method for research on influence of locomotive wheel slip on rail vibration. The STFT calculate local spectra of pre-defined constant segments (constant windows) of signal. The CWT transformation based differences are analysis with a basic function, as mother wavelet and non-constant scaled segments (changeable windows) of signal. Both transformations results are time-frequency representations (TFR).

4.1. Short time Fourier transform (STFT)

The STFT is a simple and effective method widely used in machine diagnostics [5, 11]. The result of STFT are collection of spectrums calculated for pre-defined segments of the signal. Thus, it is occurred TFR of the signals.

The Short Time Fourier Transform equation:

$$S(b,f) = \int_{-\infty}^{+\infty} x(t) \cdot e^{-j2\pi ft} \cdot w(t-b)dt,$$
(1)

where (t - b) is window width.

The STFT algorithm based on Fast Fourier Transformation (FFT) for the following pre-defined segments of signal and the window function with constant width w(t - b) = const. The resolution of the TFR result depend on length of the window. For narrow window we achieve better time resolution but for wide window the frequency resolution will be better. Also, the type (shape) of the window is important, for example rectangle window can modify beginning and the end of windows, which are the source of leak in spectrum. For minimalizing of this kind of effects other windows are often used (for example triangle, Hanning, Hamming). Thus, the windows width should be considered as decision about precision in time or frequency domains. To improve the resolution in frequency domain it can be used zero-complementing method. This method is based on adding samples with zero value of amplitude to the original signal to multiple the number of samples of the signal.

Another approach for improving the precision of STFT transformation is superposition of windows reached as difference between shift and width of window, so the FFT can be multiple for defined range of samples.

The paper presents results of the signal processing by STFT with the Hamming window. The proposed method was employed both approaches, so at first the window was 100 % elongated by using complement zero and next such windows were superpositioned in 50 %. The signal processing method has been depicted in Fig. 3.

It can be noticed that using overlapping windows and adding zeros increases the precision of the determination of individual spectral components of the spectrogram STFT. Zero-padding directly affects the frequency resolution spectra of individual components. In contrast, the overlapping windows allows to overcome the clear limits pass between these spectra. In the end, applied operations allowed to determine the smoothed spectrograph STFT especially for further analysis of a particular frequency band selection. 2975. Application of time-frequency method for research on influence of locomotive wheel slip on vibration. Rafał Burdzik, Łukasz Konieczny, Piotr Deuszkiewicz, Iveta Vaskova



Fig. 3. STFT procedure with overlap and with added zeros

4.2. Continuous wavelet transform (CWT)

The method used to analyze the collected data is a wavelet transform. This is a time-frequency analysis method proposed by Morlet and Grossman (1984). They formulated a basic function, the rules of constructing on its basis the family of analyzing functions, and a formula describing the result of the analysis in the t-f (time-frequency) domain as a function of two variables (t and f) [3-5]. The basic function has the form:

$$\Psi(t) = e^{-\frac{t^2}{2}} \cdot \cos(5t).$$
⁽²⁾

This is a mother function because it is used to construct a family of analyzing wavelets $\Psi_{a,b}$:

$$\Psi_{a,b}(t) = \frac{1}{\sqrt{a}} \cdot \Psi\left(\frac{t-b}{a}\right),\tag{3}$$

where: b – time shift; a > 0 the narrowing-expansion factor is responsible for the frequency of the analysis range.

After entering the additional scale parameter, a Wavelet Transform (Wavelet Transform WT) was created in the location window in the Gabor transform.

The analysis function $\Psi\left(\frac{t-b}{a}\right)$ called the main wavelet has the coefficient a which causes the change of the wavelength and the coefficient *b* which changes the position of the wavelet on the time axis. The equation represents band-pass filtering of signals by means of filters with different transmission bands. The Wavelet Transform (WT) is a two-dimensional function in which *a* is a scale parameter (frequency) and *b* is a translation parameter (time shifts):

$$a, b \in R, \ a \neq 0. \tag{4}$$

Coefficients representing the realized signal x(t) in the field of t-f describes the relationship:

$$WT(a,b) = \left(x(t) \cdot \Psi_{a,b}\right) = \int_{-\infty}^{+\infty} x(t) \cdot \Psi_{a,b}(t) dt = \frac{1}{\sqrt{a}} \int_{-\infty}^{+\infty} x(t) \Psi\left(\frac{t-b}{a}\right) dt.$$
(5)

This dependence is equivalent to the convolution of the x(t) signal with the analysis

wavelet $\Psi_{a,b}(t)$.

The $\Psi(t)$ function is an evenly locally oscillating function and assumes zero values outside the coherent oscillation interval. The course of the function $\Psi(t)$ is similar to the undulations, local oscillations quickly disappear along with the distance from the center hence the name: Wavelet.

In the Wavelet analysis, the amount of wavelet oscillation is constant, and the frequency change is accompanied by a proportional change in the wavelength of the wavelength.

Analysis of the non-stationary spectral properties of the signal requires the use of windows, which automatically increase when analyzing high frequencies and are automatically expanded when analyzing low frequencies which is what is possible with the wavelet analysis. The analysis uses a Morlet wavelet shown in the Fig. 4.

The Morlet wavelet was chosen due to characteristics of studied signals.



5. Results of TFR analysis

The results of spectrogram, STFT and CWT are time-frequency representation (TFR) of signal calculated for acceleration signals (in three orthogonal direction x, y, z) measured without wheel slip are presented in Figs. 5, 7, 9 and measured with wheel slip are presented in Figs. 6, 8, 10.

The statistical estimators were also used in the analysis. The values were determined for short-term sections (a sliding window was used). Due to the analysis of TFR of the signals and properties of waveforms the root mean square (X_{RMS}) and peak to peak (X_{pp}) have been calculated:

$$x_{RMS} = \left[\frac{\sum_{i=1}^{N} x_i^2}{N}\right]^{\frac{1}{2}},\tag{6}$$

$$x_{pp} = abs\left(\max_{i=1 \to N} (x_i)\right) + abs\left(\min_{i=1 \to N} (x_i)\right).$$
(7)

For the subsequent X_{RMS} and X_{pp} values calculated in this way, relative increments in subsequent windows were determined:

$$\Delta x_{RMS} = abs(x_{RMSi+1} - x_{RMSi}), \tag{8}$$

$$\Delta x_{pp} = abs(x_{ppi+1} - x_{ppi}). \tag{9}$$

The results as the distribution of selected parameters have been depicted the Figs. 11-13.

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Fig. 5. Waveform and TFR of vibration without wheel slip (x axis)



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Fig. 7. Waveform and TFR of vibration without wheel slip (y axis)



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Fig. 9. Waveform and TFR of vibration without wheel slip (z axis)



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Fig. 13. Distribution for Z axis: a) without slip, b) with slip

6. Conclusions

Designated spectrograms and spectra STFT and CWT allow simultaneous observation of signal structure in the frequency and time domain. The CWT is of interest for the analysis of non-stationary signals, because it provides an alternative to the classical STFT. When these methods were compared in term of process time, the STFT took the shortest time and it was applicable on line. On the other hand, the CWT took longer time but, in some situation, gives better results. Both for with and without wheel slipcases, there are a broadband impulse in signal and that is associated with the quality of co-operation in the rail wheel contact. In the case of x

and y direction, the frequency components dominate in the range up to about 1 kHz while the z direction in the range up to 0.5 kHz. It is difficult to distinguish the state of cooperation on the basis of the evaluation of vibration signals or spectra alone. Further research will focus on proposing estimators (based, for example, on analyzes in selected frequency bands), to classify and distinguish the nature of cooperation in the rail wheel contact.

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Rafal Burdzik is employed as a Professor at the Silesian University of Technology, Faculty of Transport, Department of Automotive Vehicles Construction. He received Ph.D. (2006 Faculty of Transport, Silesian University of Technology), D.Sc. (2014 Faculty of Transport Warsaw University of Technology). His research interests are: vibration phenomena, safety and comfort in transport, machinery diagnostic, signal processing, transport, logistics and forwarding. He is member of Bureau of the Committee on Transport Katowice Branch of the Polish Academy of Sciences, Polish Societies of Technical Diagnostics and Katowice Regional Committee of Polish Maintenance Societies. He is a member of few editorial boards of high-level scientific journals and active reviewer of impacted journals.



Lukasz Konieczny is a Ph.D. at the Department of Automotive Vehicle Construction, Faculty of Transport, Silesian University of Technology. His research interests are: machinery vibrodiagnostics, digital analysis of signals, simulation studies of vehicle suspension dynamics, hydro-pneumatic suspensions.



Piotr Deuszkiewicz, Ph.D. is employed as an Assistant Professor at the Warsaw University of Technology, Faculty of Automotive and Construction Machinery Engineering, Institute of Machine Design Fundamentals, Department of Fundamentals of Machine Design and Operation. Currently he is headmaster of Vibroacoustic Laboratory. His research interests are: vibration phenomena, machinery diagnostic, signal processing, dynamics of drive systems, mechanics of modern composite materials. He is member of Polish Societies of Technical Diagnostics



Iveta Vaskova is employed as Associate Professor at Faculty of Materials, Metallurgy and Recycling at Technical University of Kosice.