

# Horizontal dynamic response of a tubular pile based on the Timoshenko theory

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**Abstract.** Horizontally vibrating characteristics of a tubular pile in saturated soil layer are studied in this paper. Governing equations of the pile is deduced based on the popular Timoshenko theory. Analytical solutions of the pile response are derived based on the continuous boundary conditions in the pile-soil interface. Accordingly, analytical expressions of the pile impedances are obtained. Based on it, a comparison with the Euler-Bernoulli Model is performed to verify this solution. Parametric analyses are carried out to study horizontal responses of the tubular pile.

**Keywords:** pipe pile, Timoshenko model, analytical approach, horizontal dynamic responses, two-phase medium.

## 1. Introduction

Since mono-piled ocean towers are widely used to supported superstructures like wind turbine, offshore platforms, dynamic behavior of these laterally loaded piles is an area of extensive research. These piles designed for resisting lateral loadings are typically analyzed following different pile-soil interaction models [1-3], in which the Euler-Bernoulli theory is adopted to model the horizontally vibrating piles and the soil is simulated through the Winkler Foundation Model, infinitesimally thin layer or 3D continuum media, respectively.

The widely used Euler-Bernoulli Model, adopted in the conventional modelling of piles, is applicable for beams with  $L/D > 10$  i.e. slenderness ratio  $> 10$  [4]. However, offshore structures like wind turbine generators always adopt very large diameter tubular piles with very low aspect ratio as foundations [5]. For these monopiles, the application of Euler-Bernoulli theory may not be appropriate since the shear deformation is significant compared with the bending deflection during horizontal vibration. Meanwhile, the Euler-Bernoulli Model fails to consider the shear deformation, which can be reflected by the Timoshenko theory [6]. Although piles are mostly simulated by Euler-Bernoulli theory, a few studies are reported to apply the Timoshenko Model in monopiles [7, 8].

This paper shows applications of the Timoshenko theory in analyses of tubular piles with large diameter, to extend the existing analytical approach for horizontally loaded pipe piles [4, 9, 10]. It offers a generalized analytical approach for large diameter pipe piles considering shear deformations and inertial effects in the process of pile horizontal vibrating, which has been rarely investigated as the authors know. Analytical expressions for the pile lateral displacement, rotation angle, bending moment and shearing force are derived based on the continuity condition of the pile-to-soil system. A few numerical examples are performed to compare the difference between Euler-Bernoulli theory and Timoshenko theory in modelling the large diameter pipe pile, and reveal horizontal dynamic characteristics of the pile.

## 2. Problem definition

A single large diameter tubular pile with pile length  $L$ , radius  $r_1$  and  $r_2$  modelled by Timoshenko model and surrounded by two-phase saturated soil is detailed in Fig. 1(a). A

horizontal force  $H_0 e^{i\omega t}$  and a moment  $M_0 e^{i\omega t}$  are applied on the tubular pile head ( $\omega$  is the circular frequency).  $f_1$  and  $f_2$  are the lateral resisting forces coming from the outer soil and inner soil, respectively. A cylindrical coordinate system shown in Fig. 1(a) is chosen for the analytical analyses of the pile-to-soil system.

The soil medium is supposed to behave as a viscoelastic, isotropic and homogeneous saturated soil based on the Biot's theory [11]. The pipe pile assumed as a Timoshenko beam with flexibility  $E_p I_p$ . There is no slippage or separation between the tubular pile and the two-phase soil (including inner and outer soil). The normal and shear stress atop the soil layer and displacements at the bottom of the two-phase medium are assumed to be zero.

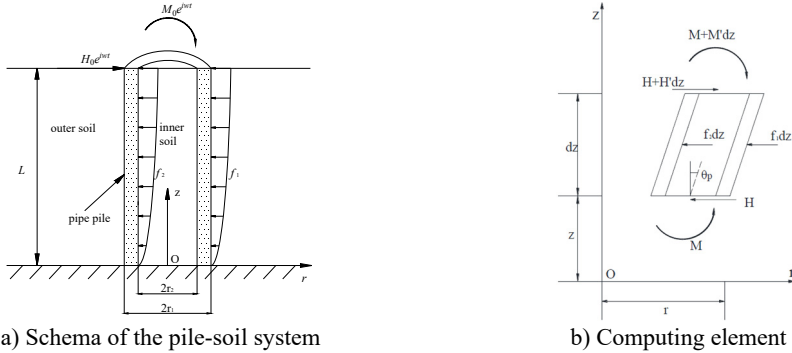


Fig. 1. Computational model

### 3. Differential equations of the pipe pile

The internal forces (shearing force  $H$ , bending moment  $M$ ) and deformations (horizontal displacement  $u_p$ , rotation angle  $\theta_p$ ) of a differential element for the tubular pile based on Timoshenko model are presented in Fig. 1(b). Apostrophe at the top right corner denotes differentiation with respect to  $z$ . Taking inertia moment and shearing deformation into account, using the equilibrium equations of the horizontal dynamic load and bending moment acting to differential element of the pipe pile and neglecting the second order terms give:

$$H + \frac{\partial M}{\partial z} + i\rho_p I_p \omega^2 \theta_p = 0, \quad \frac{\partial H}{\partial z} - f_1 - f_2 = -i\rho_p A_p \omega^2 u_p, \quad (1)$$

where  $\rho_p$  is the mass density of the tubular pile.

According to Timoshenko theory, the internal forces can be written as:

$$M = E_p I_p \frac{\partial \theta_p}{\partial z}, \quad H = A_p G_p K \left( \frac{\partial u_p}{\partial z} - \theta_p \right), \quad (2)$$

where  $A_p$ ,  $G_p$  and  $K$  are, respectively, cross sectional area, shear modulus and shearing factor.

Substitutions of Eqs. (8), (9) into Eqs. (6), (7) gives:

$$A_p G_p K \left( \frac{\partial u_p}{\partial z} - \theta_p \right) + E_p I_p \frac{\partial^2 \theta_p}{\partial z^2} + i\rho_p I_p \omega^2 \frac{\partial u_p}{\partial z} = 0, \quad (3)$$

$$A_p G_p K \left( \frac{\partial^2 u_p}{\partial z^2} - \frac{\partial \theta_p}{\partial z} \right) + i\rho_p A_p \omega^2 u_p - f_1 - f_2 = 0. \quad (4)$$

Consequently, differential equations of the pipe pile based on Timoshenko model can be obtained from Eqs. (10) and (11) as:

$$\begin{aligned} \frac{E_p I_p \partial^4 u_p}{\partial z^4} + f_1 + f_2 - i \rho_p A_p \omega^2 u_p + \frac{i \rho_p I_p \omega^2 \partial^2 u_p}{\partial z^2} \\ = \left( \frac{E_p I_p}{A_p G_p K} \frac{\partial^2}{\partial z^2} + \frac{i \rho_p I_p \omega^2}{A_p G_p K} \right) (f_1 + f_2 - i \rho_p A_p u_p \omega^2). \end{aligned} \quad (5)$$

#### 4. Determination of the tubular pile responses

##### 4.1. Review of soil analysis

The dynamic horizontal resistance of the saturated soil to lateral pile motion can be obtained through the following expression [9, 12]:

$$f(z, \omega) = \sum_{n=1}^{\infty} \xi_n(\omega) A_n \Phi_n(\omega), \quad (6)$$

where  $\xi_n$  is the soil reaction factor,  $A_n$  is an undetermined coefficient,  $\Phi_n$  is the soil mode and subscript  $m$  denotes the  $n$ th mode ( $n = 1, 2, 3, \dots$ ).

The  $m$ th soil mode is expressed through a simple frequency independent function:

$$\Phi_n(\omega) = \cos(g_n z), \quad (7)$$

where  $g_n = \pi(2n - 1)/2L$ .

Detailed, the outer and inner soil reaction factor  $\xi_{1n}$ ,  $\xi_{2n}$  are expressed through the modified Bessel functions, respectively:

$$\xi_{1n}(\omega) = -\pi r_1 \{m_{11n} K_1(\gamma_{11n} r_1) + m_{12n} K_1(\gamma_{12n} r_1) + m_{13n} K_1(\gamma_{13n} r_1)\}, \quad (8)$$

$$\xi_{2n}(\omega) = \pi r_2 \{m_{21n} I_1(\gamma_{21n} r_2) + m_{22n} I_1(\gamma_{22n} r_2) - m_{23n} I_1(\gamma_{23n} r_2)\}, \quad (9)$$

where  $m_{11n} - m_{13n}$ ,  $\gamma_{11n} - \gamma_{13n}$ ,  $m_{21n} - m_{23n}$  and  $\gamma_{21n} - \gamma_{23n}$  are determined coefficients.

Accordingly:

$$f_1(z, \omega) = \sum_{n=1}^{\infty} A_n \xi_{1n}(\omega) \cos(g_n z), \quad f_2(z, \omega) = \sum_{n=1}^{\infty} B_n \xi_{2n}(\omega) \cos(g_n z), \quad (10)$$

where  $B_n$  is an undetermined constant.

##### 4.2. Analytical Solution for the tubular pile

Eqs. (3) and (4) are coupled with each other in views of  $u_p$  and  $\theta_p$ , decomposed as:

$$\frac{\partial^4 u_p}{\partial z^4} + B \frac{\partial^2 u_p}{\partial z^2} + C u_p = \frac{1}{K G_p A_p} \left( \frac{\partial^2 f_1}{\partial z^2} + \frac{\partial^2 f_2}{\partial z^2} \right) + \left( \frac{\rho_p I_p \omega^2}{K G_p A_p} - 1 \right) \frac{f_1 + f_2}{E_p I_p}, \quad (11)$$

$$\frac{\partial^4 \theta_p}{\partial z^4} + B \frac{\partial^2 \theta_p}{\partial z^2} + C \theta_p = -\frac{1}{E_p I_p} \left( \frac{\partial f_1}{\partial z} + \frac{\partial f_2}{\partial z} \right), \quad (12)$$

where  $B = \frac{\rho_p \omega^2}{E_p} + \frac{\rho_p \omega^2}{K G_p}$ ,  $C = \frac{\rho_p^2 \omega^4}{K G_p E_p} - \frac{\rho_p A_p \omega^2}{E_p I_p}$ .

Substituting Eqs. (10) into Eq. (11) yields:

$$\frac{\partial^4 u_p}{\partial z^4} + B \frac{\partial^2 u_p}{\partial z^2} + C u_p = \frac{1}{E_p I_p} \left( \frac{\rho_p I_p \omega^2}{K G_p A_p} - 1 \right) \sum_{n=1}^{\infty} (A_n \xi_{1n} + B_n \xi_{2n}) \cos(g_n z) \quad (13)$$

$$-\frac{1}{KG_p A_p} \sum_{n=1}^{\infty} (A_n \xi_{1n} + B_n \xi_{2n}) g_n^2 \cos(g_n z).$$

Eq. (13) is an ordinary differential equation with inhomogeneity, whose solution can be derived as:

$$u_p = N_1 \sin(\beta_1 z) + N_2 \cos(\beta_1 z) + N_3 \sinh(\beta_2 z) + N_4 \cosh(\beta_2 z) + \sum_{n=1}^{\infty} (A_n \zeta_{1n} + B_n \zeta_{2n}) \cos(g_n z), \quad (14)$$

$$\beta_1 = \sqrt{\frac{B + \sqrt{B^2 - 4C}}{2}}, \quad \beta_2 = \sqrt{\frac{-B + \sqrt{B^2 - 4C}}{2}},$$

$$\zeta_{1n} = \frac{\pi r_1 \mu_1 H_{1n} \xi_{1n}}{g_n^4 - B g_n^2 + C}, \quad \zeta_{2n} = \frac{\pi r_2 \mu_2 H_{2n} \xi_{2n}}{g_n^4 - B g_n^2 + C},$$

$$H_{1n} = \frac{1}{E_p I_p} \left( \frac{\omega^2 \rho_p I_p}{KG_p A_p} - 1 \right) - \frac{g_n^2}{KG_p A_p}, \quad H_{2n} = \frac{1}{E_p I_p} \left( \frac{\omega^2 \rho_p I_p}{KG_p A_p} - 1 \right) - \frac{g_n^2}{KG_p A_p},$$

and  $N_1$ - $N_4$  are coefficients.

According to the perfect contact boundary condition of the pile-to-soil system, lateral displacements at the pile-soil interfaces are continuous:

$$N_1 \sin(\beta_1 z) + N_2 \cos(\beta_1 z) + N_3 \sinh(\beta_2 z) + N_4 \cosh(\beta_2 z) + \sum_{n=1}^{\infty} (A_n \zeta_{1n} + B_n \zeta_{2n}) \cos(g_n z) = \sum_{n=1}^{\infty} \eta_{1n} A_n \cos(g_n z), \quad (15)$$

$$N_1 \sin(\beta_1 z) + N_2 \cos(\beta_1 z) + N_3 \sinh(\beta_2 z) + N_4 \cosh(\beta_2 z) + \sum_{n=1}^{\infty} (A_n \zeta_{1n} + B_n \zeta_{2n}) \cos(g_n z) = \sum_{n=1}^{\infty} \eta_{2n} B_n \cos(g_n z), \quad (16)$$

$$\eta_{1n} = \gamma_{11n} \frac{K_2(q_{11n} r_1) + K_0(q_{11n} r_1)}{2} + \gamma_{12n} \delta_{12n} \frac{K_2(q_{12n} r_1) + K_0(q_{12n} r_1)}{2} + \delta_{13n} \frac{K_2(q_{13n} r_1) - K_0(q_{13n} r_1)}{2},$$

$$\eta_{2n} = \gamma_{21n} \frac{I_2(q_{21n} r_2) + I_0(q_{21n} r_2)}{2} + \gamma_{22n} \delta_{22n} \frac{I_2(q_{22n} r_2) + I_0(q_{22n} r_2)}{2} + \delta_{23n} \frac{I_2(q_{23n} r_2) - I_0(q_{23n} r_2)}{2}.$$

Accordingly:

$$B_n = \frac{\eta_{1n} A_n}{\eta_{2n}}. \quad (17)$$

Multiplying  $\cos(g_n z)$  on both sides of Eq. (15) to integrate on the range,  $[0, L]$ , yields:

$$A_n = \eta_{2n} (S_{1n} N_1 + S_{2n} N_2 + S_{3n} N_3 + S_{4n} N_4), \quad (18)$$

$$S_{1n} = \frac{2 \int_0^H \sin(\beta z) \cos(g_n z) dz}{(\eta_{1n} \eta_{2n} - \zeta_{1n} \eta_{2n} - \zeta_{2n} \eta_{1n}) L}, \quad S_{2n} = \frac{2 \int_0^H \cos(\beta z) \cos(g_n z) dz}{(\eta_{1n} \eta_{2n} - \zeta_{1n} \eta_{2n} - \zeta_{2n} \eta_{1n}) L},$$

$$S_{3n} = \frac{2 \int_0^H \sinh(\beta z) \cos(g_n z) dz}{(\eta_{1n} \eta_{2n} - \zeta_{1n} \eta_{2n} - \zeta_{2n} \eta_{1n}) L}, \quad S_{4n} = \frac{2 \int_0^H \cosh(\beta z) \cos(g_n z) dz}{(\eta_{1n} \eta_{2n} - \zeta_{2n} \eta_{1n} - \zeta_{1n} \eta_{2n}) L}.$$

Substituting Eq. (18) into Eq. (17) results in:

$$B_n = \eta_{1n}(S_{1n}N_1 + S_{2n}N_2 + S_{3n}N_3 + S_{4n}N_4). \quad (19)$$

Now Eq. (14) can be re-written as:

$$u_p = N_1 \left[ \sin(\beta_1 z) + \sum_{n=1}^{\infty} \kappa_{1n} \cos(g_n z) \right] + N_2 \left[ \cos(\beta_1 z) + \sum_{n=1}^{\infty} \kappa_{2n} \cos(g_n z) \right] + N_3 \left[ \sinh(\beta_2 z) + \sum_{n=1}^{\infty} \kappa_{3n} \cos(g_n z) \right] + N_4 \left[ \cosh(\beta_2 z) + \sum_{n=1}^{\infty} \kappa_{4n} \cos(g_n z) \right], \quad (20)$$

$$\kappa_{1n} = S_{1n}\eta_{2n}\zeta_{1n} + S_{1n}\eta_{1n}\zeta_{2n}, \quad \kappa_{2n} = \eta_{2n}\zeta_{1n}S_{2n} + \eta_{1n}\zeta_{2n}S_{2n},$$

$$\kappa_{3n} = \eta_{2n}\zeta_{1n}S_{3n} + \eta_{1n}\zeta_{2n}S_{3n}, \quad \kappa_{4n} = \eta_{2n}\zeta_{1n}S_{4n} + \eta_{1n}\zeta_{2n}S_{4n}.$$

Using the same solving procedure, the solution of (12) can be obtained as:

$$\theta_p = N_1 \left[ \vartheta_1 \cos(\beta_1 z) + \sum_{n=1}^{\infty} \psi_{1n} \sin(g_n z) \right] + N_2 \left[ -\vartheta_1 \sin(\beta_1 z) + \sum_{n=1}^{\infty} \psi_{2n} \sin(g_n z) \right] + N_3 \left[ \vartheta_2 \cosh(\beta_2 z) + \sum_{n=1}^{\infty} \psi_{3n} \sin(g_n z) \right] + N_4 \left[ \vartheta_2 \sinh(\beta_2 z) + \sum_{n=1}^{\infty} \psi_{4n} \sin(g_n z) \right], \quad (21)$$

$$\vartheta_1 = \beta_1 - \frac{\rho_p \omega^2}{K G_p \beta_1}, \quad \vartheta_2 = \beta_2 + \frac{\rho_p \omega^2}{K G_p \beta_2}, \quad \psi_{1n} = (\eta_{2n}\zeta_{3n} + \eta_{1n}\zeta_{4n})\kappa_{1n},$$

$$\psi_{2n} = (\eta_{2n}\zeta_{3n} + \eta_{1n}\zeta_{4n})\kappa_{2n}, \quad \psi_{3n} = (\eta_{2n}\zeta_{3n} + \eta_{1n}\zeta_{4n})\kappa_{3n},$$

$$\psi_{4n} = (\eta_{2n}\zeta_{3n} + \eta_{1n}\zeta_{4n})\kappa_{4n}, \quad \zeta_{3n} = \frac{\pi r_1 \mu_1 \xi_{1n} g_n}{E_p I_p (g_n^4 - B g_n^2 + C)}, \quad \zeta_{4n} = \frac{\pi r_2 \mu_2 \xi_{2n} g_n}{E_p I_p (g_n^4 - B g_n^2 + C)}.$$

Therefore,  $M_p$  (bending moment) and  $Q_p$  (shearing force) of the tubular pile can be obtained by:

$$\frac{M_p}{E_p I_p} = N_1 \left[ -\vartheta_1 \beta_1 \sin(\beta_1 z) + \sum_{n=1}^{\infty} \psi_{1n} g_n \cos(g_n z) \right] + N_2 \left[ -\vartheta_1 \beta_1 \cos(\beta_1 z) + \sum_{n=1}^{\infty} \psi_{2n} g_n \cos(g_n z) \right] + N_3 \left[ \vartheta_2 \beta_2 \sinh(\beta_2 z) + \sum_{n=1}^{\infty} \psi_{3n} g_n \cos(g_n z) \right] + N_4 \left[ \vartheta_2 \beta_2 \cosh(\beta_2 z) + \sum_{n=1}^{\infty} \psi_{4n} g_n \cos(g_n z) \right], \quad (22)$$

$$\frac{Q_p}{K G_p A_p} = N_1 \left[ (\beta_1 - \vartheta_1) \cos(\beta_1 z) - \sum_{n=1}^{\infty} (\kappa_{1n} g_n + \psi_{1n}) \sin(g_n z) \right] + N_2 \left[ (-\beta_1 + \vartheta_1) \sin(\beta_1 z) - \sum_{n=1}^{\infty} (\kappa_{2n} g_n + \psi_{2n}) \sin(g_n z) \right] + N_3 \left[ (\beta_2 - \vartheta_2) \cosh(\beta_2 z) - \sum_{n=1}^{\infty} (\kappa_{3n} g_n + \psi_{3n}) \sin(g_n z) \right] + N_4 \left[ (\beta_2 - \vartheta_2) \sinh(\beta_2 z) - \sum_{n=1}^{\infty} (\kappa_{4n} g_n + \psi_{4n}) \sin(g_n z) \right]. \quad (23)$$

Assuming that horizontal displacement  $u_p$ , rotation angle  $\theta_p$ , bending moment  $M_p$  and shearing force  $Q_p$  at pile head are  $U_0$ ,  $\Theta_0$ ,  $M_0$  and  $H_0$ , respectively. Therefore, undetermined coefficients  $N_1$ - $N_4$  can be determined by the method of initial parameter. As for the limited paper space, the detailed determination process of  $N_1$ - $N_4$  are excluded here.

Based on the definition method for impedances proposed by Novak [13], the dimensionless horizontal dynamic impedance  $k_h$ , rocking dynamic impedance  $k_r$  and horizontal-rocking

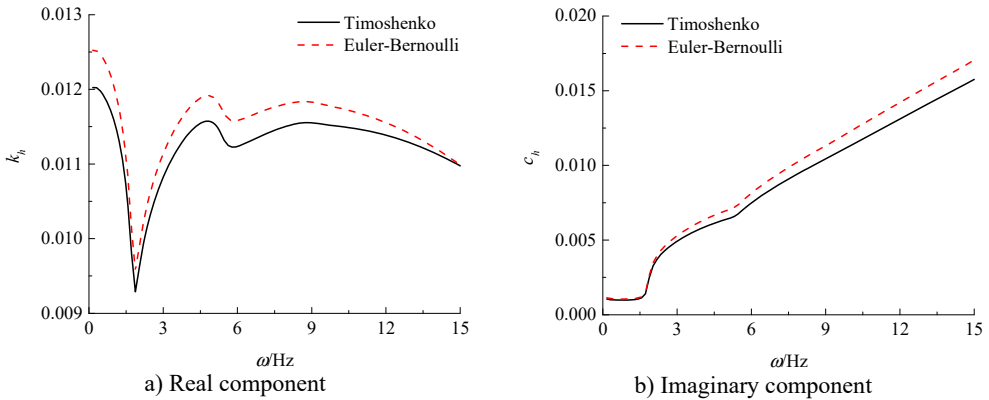
dynamic impedance  $k_{hr}$  can be expressed as follows, respectively:

$$k_h = \frac{H_0}{U_0 E_p r_1}, \quad k_r = \frac{M_0}{\Theta_0 E_p r_1^2}, \quad k_{hr} = \frac{H_0}{\Theta_0 E_p r_1^3}. \quad (24)$$

### 5. Validation and analysis

A few numerical examples are selected to make comparisons between the results of the Euler-Bernoulli theory and the Timoshenko theory, and to analyze the horizontal dynamic responses of the tubular pile based on the Timoshenko theory. Unless otherwise specified, details of parameters used in this study are listed as follows:  $L = 10$  m;  $r_1 = 0.5$  m;  $r_2 = 0.3$  m;  $E_p = 25$  GPa;  $\rho_p = 2500$  kg/m<sup>3</sup>;  $\rho_1 = \rho_2 = 2200$  kg/m<sup>3</sup>;  $\rho_f = 1000$  kg/m<sup>3</sup>;  $\alpha_1 = \alpha_2 = 0.99$ ;  $M_1 = M_2 = 1000$  MPa;  $n_1 = n_2 = 0.3$ ,  $k_{d1} = k_{d1} = 10^{-5}$  m/s. Variations of the three stiffness factors ( $k_h$ ,  $k_r$ ,  $k_{hr}$ ) and damping factors ( $c_h$ ,  $c_r$ ,  $c_{hr}$ ) with frequency are similar. Accordingly,  $k_h$  and  $c_h$  are taken as examples for the numerical analysis.

Figs. 2 compares the dynamic stiffness and damping factors ( $k_h$ ,  $c_h$ ) calculated by the Timoshenko model with those by the Euler-Bernoulli model. It is observed that the variation tendency of the stiffness and damping factors calculated by the both models are uniform, which represents the validity of the proposed solution. While the calculation results obtained by the Timoshenko model are significantly less than those obtained by the Euler-Bernoulli model. This is due to the fact that effects of shear deformation and inertia are taken into account, in addition to the bending deflection of the pipe pile in the Timoshenko model. The result calculated by the Euler-Bernoulli model is bigger than normal. This is bound to bring about potential safety hazard, especially for the large diameter pipe pile. Accordingly, it is reasonable to simulate the large diameter pipe pile by Timoshenko model.



**Fig. 2.** Comparisons of horizontal impedance between the Euler-Bernoulli and Timoshenko theories

Fig. 3 takes the horizontal dynamic stiffness factor  $k_h$  and damping factor  $c_h$  as examples to illustrate influences of the radius i.e.  $r_1$  and  $r_2$  on the complex impedances of the tubular pile modelled by the Timoshenko model. It is observed that horizontal dynamic stiffness and damping components tends to increase with the decrease of the inner radius  $r_2$  and the increase of the outer radius  $r_1$ . Notice that cross section area of the tubular pile for the case of  $r_1 = 0.5$  and  $r_2 = 0.2$  is equal to the case of  $r_1 = 0.6$  and  $r_2 = 0.38$ , approximately. Comparison of the two cases finds that stiffness component of the tubular pile with  $r_1 = 0.5$  and  $r_2 = 0.2$  is larger than that with  $r_1 = 0.6$  and  $r_2 = 0.38$ , while the damping factor is reverse. This implies that, for cases of tubular piles with approximately equal cross section area, stiffness component of the tubular pile with comparatively larger wall thickness is greater, while the damping factor of a pipe pile with larger mean radius ( $r_1/2 + r_2/2$ ) is greater.

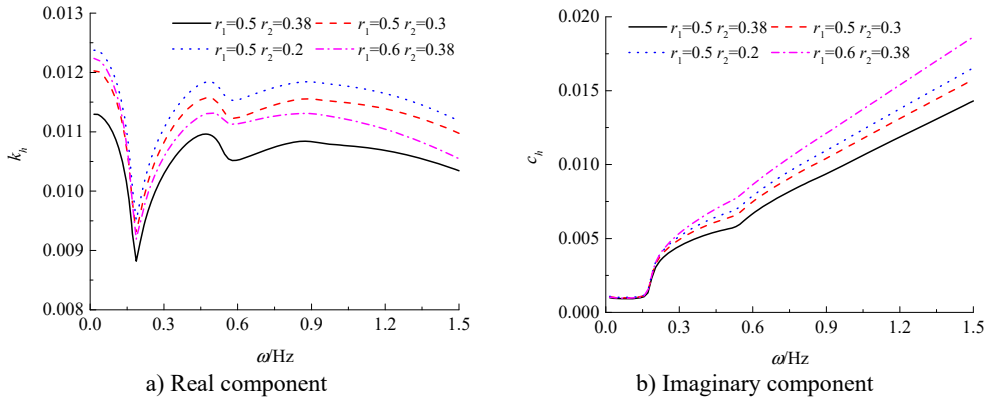


Fig. 3. Variation of horizontal impedance with inner and outer pile radius based on the Timoshenko theory

## 6. Conclusions

Interaction between the saturated soil and a large diameter tubular pile in horizontal vibration is theoretically examined. The analytical solution is brought to a form which makes it possible to predict the dynamic stiffness and damping for the large diameter pipe pile based on the Timoshenko model. Comparisons between the Timoshenko model and the Euler-Bernoulli model are presented to illustrate the influence of shear deformation and inertial effect on the dynamic responses.

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