# **2640. Influence of asymmetric structure parameters on rolling mill stability**

## **Jinlei Huang1 , Yong Zang2 , Zhiying Gao3 , Lingqiang Zeng4**

School of Mechanical Engineering, University of Science and Technology Beijing, Beijing, 100083, China <sup>2</sup>Corresponding author

**E-mail:** <sup>1</sup>*hjl347@163.com*, 2*yzang@ustb.edu.cn*, 3*gaozhiying@me.ustb.edu.cn*, 4*zeng\_l\_q@163.com* 

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Abstract. Considering the asymmetric structure of a rolling mill system, a chatter model is established by coupling a rolling process model and structure model to describe the asymmetric vibration characteristics. According to this mathematical model, the critical rolling speed is determined by calculating eigenvalues of the characteristic matrix. Model correctness and validity are confirmed by an experimental observation of a 2030 cold rolling mill. On this basis, the effects of asymmetric structure parameters on the rolling mill stability are investigated with the help of the numerical approach. It is found that the influence of the asymmetric structure parameters on stability and critical conditions is significant. An optimal stiffness ratio and optimal mass ratio is determined, in order to ensure the best stability for the considered system. As the asymmetry in terms of the stiffness and mass becomes considerable, a modal conversion phenomenon occurs. This study serves as a contribution both for the mill vibration suppressing, and for mill optimization design.

**Keywords:** asymmetric structure, chatter, stability, critical speed, modal conversion.

### **1. Introduction**

The modern rolling industry has been improving the product quality, and the technical requirements of high accuracy and high dynamic performance have been making the issue of rolling mill vibration more prominent. Rolling mill system instability seriously affects the product quality, reduces the product accuracy, restricts the rolling speed improvement, and even causes serious damage to the rolling mill equipment.

In recent years, scholars have done a lot of researches on rolling mill vibration. Based on the symmetry assumptions that the mill system is symmetrical along the strip center, Huyue Zhao and Peihua Hu et al. [1-4] established structural models of mill vibration and analyzed the influence of the mill process and structure parameters on the system stability. By summarizing a large number of literatures, Zhiying Gao [5] pointed out the necessity of the asymmetry consideration for the rolling mill system and indicated that the asymmetric structure parameters and process parameters had a non-negligible impact on the chatter problem and material deformation. Considering the asymmetric structure of mill system, Jiaxiang Zou and Kim et al*.* [6-8] established rolling mill vibration models and analyzed the effects of process parameters on the critical speed of mill system. The asymmetry of the rolling speed and the friction coefficient of upper part and lower part were considered and the influence of asymmetry on the rolling pressure, rolling torque and rolling deformation zone were analyzed by Huang Y. M. and Yong Zang et al. [9-13], but the researches were based on the static state. Swiatoniowski [14] discussed the dynamics phenomenon which was caused by various asymmetric factors during the process of rolling plastic deformation. Jafari [15] studied the influence of the upper and lower rolls speeds asymmetry on the rolling force, rolling torque and rolling mill vibration behavior.

To summarize the above, some chatter models are based on the symmetry assumption that the mill system is symmetrical along the strip center. So, they just take half of the system into account. However, these chatter models can only study the modal which the upper rolls and lower rolls vibrate in the reverse direction, resulting in the deletion of the modal which the upper rolls and lower rolls vibrate in the same direction, what leads to the misjudgment about mill vibration induction mechanism. Some models consider the asymmetric structure, but they just study the effect of some parameters on the stability of the mill system. Overall, the influence of upper and lower structural parameters asymmetry on the stability of the rolling mill system has not been studied enough in the existing researches.

Based on the asymmetric structural parameters which include mill stiffness, mass and damping, this paper establishes a rolling mill vibration model to study influences of the asymmetrical structural parameters on the stability of the rolling mill system after the model correctness and validity has been verified. Furthermore, the paper analyzes the relationship between the instability types and structure asymmetry level. The research may be helpful to suppress the mill vibration and provide a guidance for dynamic system structure modifications and mill optimization design.

### **2. Vibration model with asymmetric structure parameters**

### **2.1. Structure model and modal analysis**

For a typical four-high mill, in order to facilitate the analysis, the upper-system and sub-system are simplified as ones with a single degree of freedom respectively. It is assumed that the mill vibrates up and down along the vertical direction. The asymmetric structure model of rolling mill is illustrated in Fig. 1.



**Fig. 1.** Simplified asymmetric structure model

This paper establishes an asymmetric model by extending the vertical model from literature [4]. The asymmetric model which considers the difference of structure parameters between the system upper and lower parts can be used to study the asymmetric vibration phenomenon and the influence of asymmetric parameters on the system stability. As shown in Fig. 1,  $m_1$  is the lumped equivalent mass of the top roll, top backup roll and upper mill housing, which is simplified to the center of the top work roll.  $m_2$  is the lumped equivalent mass of the bottom roll, bottom backup roll and lower mill housing, which is simplified to the center of the bottom work roll.  $k_1$ ,  $c_1$  are the vertical equivalent stiffness and vertical damping due to support from the upper frame and the upper beam of the rolling mill.  $k_2$ ,  $c_2$  are the vertical equivalent stiffness and vertical damping due to the support from lower frame and the lower beam of the rolling mill.  $k_p$  is the equivalent stiffness of strip deformation during vibration.  $y_1$ ,  $y_2$  are displacements of the vertical vibration from upper rolls and lower rolls respectively.  $F_{var}$  is the variation of rolling force.

This paper uses the structural parameters from literature [4] to analyze the natural frequencies and mode shapes of the system. The selected structural parameters are shown in Table 1, the natural frequencies and mode shapes of the system are shown in Table 2.

It can be seen from Fig. 2, the upper and lower rolls system vibrates in the same direction at the first-order natural frequency, which is called the same direction vibration modal. The upper and lower rolls system vibrates in the reverse direction at the second-order natural frequency,

which is called the reverse direction vibration modal. The reference [4] can only demonstrate a study of the reverse direction modal for the symmetric assumption. As compared with the reference [4], the asymmetric model can be used to study either the reverse direction vibration mode of the system, or the same direction vibration mode of the system, avoiding the loss of the vibration mode. This model is the basis for studying the effect of asymmetric parameters on the rolling mill stability.

$m_1/(kg)$	$m_2/(kg)$	$(N.m^{-1})$	$(N.m^{-1})$ $k_{2}$	$(N.s.m^{-1})$
203200	203200	$6.9\times10^{10}$	$6.9\times10^{10}$	$1.64\times10^{7}$
$(N.s.m^{-1})$ $\mathcal{C}_{2}$	(GPa)		/ (MPa)	$\mu_m$
$1.64 \times 10^{7}$	210	0.3	810	0.04
(m) ∕ ∟⊿	(m)	mm)	R/(m)	$(N.m^{-1})$
	4.75		0.3	$2.8 \times 10^{10}$

**Table 1.** Parameters of mill structure, strip material



**Table 2.** System natural frequencies and mode shapes

Natural frequencies  $(Hz)$  92.7 124.8

First order Second order

**Fig. 2.** a) Vibration mode under first-order natural frequency, b) vibration mode under second-order natural frequency, c) same direction vibration schematic diagram, d) reverse direction vibration schematic diagram

### **2.2. Dynamic model of rolling process**

The geometry of the roll bite is illustrated in Fig. 3, where  $O_{1s}$  and  $O_{2s}$  are the original geometrical centers of the top and bottom rolls (before vibration begins).  $O_1$  and  $O_2$  are the ever-changing centers of the rolls.  $h_0$  and  $h_1$  are the strip thicknesses at the inlet and outlet.  $h_c$  is the roll gap spacing measured along the centerline  $O_1O_2$ ,  $h_{cs}$  is the roll gap spacing measured along the centerline  $O_{1s}O_{2s}$ .  $\alpha$  is the inlet angle,  $\alpha = \sin \alpha = \sqrt{(h_0 - h_c)/R'}$ .  $\gamma$  is the neutral angle.  $\delta$  is the outlet angle,  $\delta = \sin \delta = x_1/R'$ . *l* is the horizontal projection length of the contact arc,  $l = x_1 - x_0$ . R and R' are the radiuses of the original work roll and deformed work roll.  $\sigma_0$ and  $\sigma_1$  are the strip tensile stresses at the inlet and outlet.  $v_0$  and  $v_1$  are the strip velocities at the inlet and outlet.  $v_r$  is the roll peripheral velocity.  $x_0$ ,  $x_n$  and  $x_1$  are the inlet position, neutral position and outlet position measured from the centerline  $O_{1s}$ ,  $O_{2s}$ . And it is assumed that the strip width at the inlet and outlet are  $B$ .

Zeng et al. [4] assumed that the model is symmetrical, so it is only valid to study symmetrical vibration of the rolling mill. In this paper, the model is asymmetric and the vibration of upper and lower rolls is asymmetrical, so the equation  $h_c = h_{cs} + 2y_c$  in [4] is replaced with the Eq. (1):

$$
h_c = h_{cs} + y_1 - y_2. \tag{1}
$$

The Hill simplified formula of Bland-Ford rolling force model is as follows [6]:

$$
P = (K - \sigma_m) B Q_p l,\tag{2}
$$

where,  $\sigma_m$  is the mean tensile stress, usually considered as  $\sigma_m = 0.7\sigma_0 + 0.3\sigma_1$ .  $Q_p$  is the stress state factor,  $Q_p = 1.08 + 1.79 \epsilon \mu \sqrt{R'/h_0} - 1.02 \epsilon$ .  $\varepsilon$  is the reduction ratio,  $\varepsilon = (h_0 - h_1)/h_0$ . K is the mean deformation resistance,  $K = A \cdot \varepsilon^{n} \Sigma_{m}$ . A and n are the coefficients associated with the strip carbon content.  $\varepsilon_{\sum m}$  is the mean total deformation extent,  $\varepsilon_{\sum m} = b_0 \varepsilon_0 + b_1 \varepsilon_1$ .  $\varepsilon_0$  is the total deformation extent at the inlet of this mill stand,  $\varepsilon_0 = (H - h_0)/H$ .  $\varepsilon_1$  is the total deformation extent at the outlet of this mill stand,  $\varepsilon_1 = (H - h_1)/H$ . H is the initial strip thickness at the inlet of the first mill stand in a configuration.  $b_0$  and  $b_1$  are coefficients, usually considered as  $b_0 = 0.4$ ,  $b_1 = 0.6$ .

According to the relationship in the rolling deformation zone, the rolling force acting on rolls in the vertical direction is given by:

$$
F = P. \tag{3}
$$

The strip velocities at the inlet and outlet, the strip inlet position, outlet position and neutral position can be obtained based on the geometry of the roll bite, as well as on the metal flow equation and equilibrium conditions of the force in the deformation zone, as shown in Eq. (4):

$$
\begin{cases}\nv_0 = \frac{1}{h_0} \Big[ v_r \Big( h_c + \frac{x_n^2}{R'} \Big) - \dot{h}_c (x_n - x_0) \Big], \\
v_1 = \frac{v_0 h_0 + \dot{h}_c (x_1 - x_0)}{h_c + \frac{x_1^2}{R'}}, \\
x_0 = \sqrt{R'(h_0 - h_c)}, \\
x_1 = \frac{R' \dot{h}_c h_1}{2(v_0 h_0 - \dot{h}_c x_0)}, \\
x_n = R' \sin \gamma = \frac{R'}{2} (\sin \alpha + \sin \delta) + \frac{R'}{2\mu_m} (\cos \alpha - \cos \delta) + \frac{(\sigma_1 h_1 B - \sigma_0 h_0 B)l}{4\mu_m p}.\n\end{cases}
$$
\n(4)

From Eq. (2), (3) and (4), the rolling force acting on the rolls, the strip velocities at the inlet and outlet can be implicitly formulated as a function in terms of the following variables, i.e.:

$$
\begin{cases}\nF = F(\sigma_0, \sigma_1, h_0, h_c, \dot{h}_c), \\
v_0 = v_0(\sigma_0, \sigma_1, h_0, h_c, \dot{h}_c), \\
v_1 = v_1(\sigma_0, \sigma_1, h_0, h_c, \dot{h}_c).\n\end{cases}
$$
\n(5)

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**Fig. 3.** Roll bite geometry during vibration

Since the chatter phenomenon in rolling is considered as a dynamic phenomenon rather than a static one, it is more important to study the variations of the system parameters rather than their steady-state values [3]. The variation of F,  $v_0$  and  $v_1$  can be obtained by utilizing the first-order Taylor expansion [1, 3, 4]:

$$
\begin{cases}\nF_{var} = a_{F,\sigma_0} \sigma_{0var} + a_{F,\sigma_1} \sigma_{1var} + a_{F,h_0} h_{0var} + a_{F,h_c} h_{cvar} + a_{F,h_c} h_{cvar}, \\
v_{0var} = a_{v_0,\sigma_0} \sigma_{0var} + a_{v_0,\sigma_1} \sigma_{1var} + a_{v_0,h_0} h_{0var} + a_{v_0,h_c} h_{cvar} + a_{v_0,h_c} h_{cvar}, \\
v_{1var} = a_{v_1,\sigma_0} \sigma_{0var} + a_{v_1,\sigma_1} \sigma_{1var} + a_{v_1,h_0} h_{0var} + a_{v_1,h_c} h_{cvar} + a_{v_1,h_c} h_{cvar},\n\end{cases}
$$
\n(6)

where,  $h_{cvar} = y_1 - y_2$ ,  $\dot{h}_{cvar} = \dot{y}_1 - \dot{y}_2$ .

 $a_{F,\sigma_0}, a_{F,\sigma_1}, a_{F,h_0}, a_{F,h_c}, a_{F,h_c}$ , are the partial derivatives of F with respect to  $\sigma_0, \sigma_1, h_0, h_c$ ,  $\dot{h}_c$ , respectively. These coefficients characterize the impact factors of the variation of  $\sigma_0$ ,  $\sigma_1$ ,  $h_0$ ,  $h_c$ ,  $\dot{h}_c$  on the variation of F,  $a_{v_0,\sigma_0}$ ,  $a_{v_0,\sigma_1}$ ,  $a_{v_0,h_0}$ ,  $a_{v_0,h_c}$ ,  $a_{v_0,h_c}$  are the partial derivatives of  $v_0$ with respect to  $\sigma_0$ ,  $\sigma_1$ ,  $h_0$ ,  $h_c$ ,  $\dot{h}_c$ , respectively. These coefficients characterize the impact factors of the variation of  $\sigma_0$ ,  $\sigma_1$ ,  $h_0$ ,  $h_c$ ,  $\dot{h}_c$  on the variation of  $v_0$ .  $a_{v_1,\sigma_0}$ ,  $a_{v_1,\sigma_1}$ ,  $a_{v_1,h_0}$ ,  $a_{v_1,h_c}$ ,  $a_{v_1,h_c}$  are the partial derivatives of  $v_1$  with respect to  $\sigma_0$ ,  $\sigma_1$ ,  $h_0$ ,  $h_c$ ,  $\dot{h}_c$ , respectively. These coefficients characterize the impact factors of the variation of  $\sigma_0$ ,  $\sigma_1$ ,  $h_0$ ,  $h_c$ ,  $\dot{h}_c$  on the variation of  $v_1$ .

All the calculations of coefficients in Eq. (6) are given in Appendix.

A change of the roll gap caused the fluctuation of the strip velocity, and then resulted in the fluctuation of the strip tensile stress. The tensile stress variation at the inlet and outlet can be expressed as:

$$
\begin{cases}\n\sigma_{0var} = \frac{E}{L_0} \Delta L_0 = \frac{E}{L_0} \int_0^t v_{0var} dt, \\
\sigma_{1var} = \frac{E}{L_1} \Delta L_1 = -\frac{E}{L_1} \int_0^t v_{1var} dt,\n\end{cases} \tag{7}
$$

where, E is the Young's modulus of the strip.  $L_0$  and  $L_1$  are distances from this stand to the upstream stand and downstream stand.

### **2.3. Mathematical model of rolling vibration**

Equilibrium equation of vibration system can be written as:

$$
\begin{aligned} \n\{m_1 \ddot{y}_1 + c_1 \dot{y}_1 + k_1 y_1 &= F_{var}, \\ \n\{m_2 \ddot{y}_2 + c_2 \dot{y}_2 + k_2 y_2 &= -F_{var}. \n\end{aligned} \tag{8}
$$

As Eq. (7) has the integral terms of time, Eq. (7) is taken as a derivative with respect to time. By combining the structure modal with dynamic model of rolling process, we can get the mathematical rolling chatter model:

$$
\begin{cases}\nm_1 \ddot{y}_1 + c_1 \dot{y}_1 + k_1 y_1 = F_{var}, \\
m_2 \ddot{y}_2 + c_2 \dot{y}_2 + k_2 y_2 = -F_{var}, \\
\dot{\sigma}_{var} = \frac{E}{L_0} (\nu_0 - \overline{\nu_0}), \\
\dot{\sigma}_{var} = -\frac{E}{L_1} (\nu_1 - \overline{\nu_1}).\n\end{cases} \tag{9}
$$

In order to analyze the system stability, the Eq. (10) is written as the Eq. (11) which is the firstorder algebraic equation combined with the Eq. (6) and Eq. (7), and using  $(x_1, x_2, x_3, x_4, x_5, x_6)^T$ to substitute  $(y_1, \dot{y}_1, y_2, \dot{y}_2, \sigma_{0var}, \sigma_{1var})^T$ :

$$
\begin{cases}\n\dot{x}_1 = x_2, \\
\dot{x}_2 = \frac{1}{m_1} (a_{F,\sigma_0} x_5 + a_{F,\sigma_1} x_6 + a_{F,h_0} h_{0var} + (a_{F,h_c} - k_1) x_1 - a_{F,h_c} x_3 \\
+ (a_{F,h_c} - c_1) x_2 - a_{F,h_c} x_4), \\
\dot{x}_3 = x_4, \\
\dot{x}_4 = \frac{1}{m_2} (-a_{F,\sigma_0} x_5 - a_{F,\sigma_1} x_6 - a_{F,h_0} h_{0var} + (a_{F,h_c} - k_2) x_3 \\
-a_{F,h_c} x_1 - a_{F,h_c} x_2 + (a_{F,h_c} - c_2) x_4), \\
\dot{x}_5 = \frac{E}{L_0} (a_{v_0,\sigma_0} x_5 + a_{v_0,\sigma_1} x_6 + a_{v_0,h_0} h_{0var} + a_{v_0,h_c} (x_1 - x_3) + a_{v_0,h_c} (x_2 - x_4)), \\
\dot{x}_6 = -\frac{E}{L_1} (a_{v_1,\sigma_0} x_5 + a_{v_1,\sigma_1} x_6 + a_{v_1,h_0} h_{0var} + a_{v_1,h_c} (x_1 - x_3) + a_{v_1,h_c} (x_2 - x_4)).\n\end{cases}
$$
\n(10)

And the mathematical model of rolling vibration is expressed as a first-order differential equation in the matrix form:

$$
\frac{dX}{dt} = D_1 X + D_2 h_{0var},\tag{11}
$$

where:

[ݔ ହݔ ସݔ ଷݔ ଶݔ ଵݔ] = ܺ ், (12) = ଵܦ ۏ ێ ێ ێ ێ ێ ێ ێ ێ ێ 000 0 1 0 ۍ ܽி, − ݇ଵ ݉ଵ ܽி,ሶ − ܿଵ ݉ଵ ܽி, ݉ଵ ܽி,ሶ ݉ଵ ܽி,ఙబ ݉ଵ ܽி,ఙభ ݉ଵ 0 0 0 100 ܽி, ݉ଶ ܽி,ሶ ݉ଶ ܽி, − ݇ଶ ݉ଶ ܽி,ሶ − ܿଶ ݉ଶ ܽி,ఙబ ݉ଶ ܽி,ఙభ ݉ଶ ,௩బܽܧ ܮ ܧܽ௩బ,ሶ ܮ ,௩బܽܧ ܮ ܧܽ௩బ,ሶ ܮ ܧܽ௩బ,ఙబ ܮ ܧܽ௩బ,ఙభ ܮ ,௩భܽܧ − ଵܮ <sup>−</sup> ܧܽ௩భ,ሶ ଵܮ ,௩భܽܧ − ଵܮ <sup>−</sup> ܧܽ௩భ,ሶ ଵܮ <sup>−</sup> ܧܽ௩భ,ఙబ ଵܮ <sup>−</sup> ܧܽ௩భ,ఙభ ے ଵܮ ۑ ۑ ۑ ۑ ۑ ۑ ۑ ۑ ۑ ې , (13)

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$$
D_2 = \left[0 \quad \frac{a_{F,h_0}}{m_1} \quad 0 \quad \frac{a_{F,h_0}}{m_2} \quad \frac{E a_{v_0,h_0}}{L_0} \quad -\frac{E a_{v_1,h_0}}{L_1}\right]^T.
$$
 (14)

### **3. Model verification**

The above established vibration model, which considers the structure asymmetry of the upper and lower rolls, is more in line with the actual situation. And it can be used to study the effect of asymmetric structure on the mill system stability. Due to the destruction of the chatter, it is difficult to conduct an experiment or test. In order to verify the model correctness, this paper uses structural parameters, process parameters and vibration test data of the 4th stand of a 2030 cold rolling mill.

The rolling process parameters, rolling speed in case of chatter occurrence, the result from the literature [6] and the results of this paper are listed in Table 3. As shown in Table 3, the simulation result obtained with the asymmetric model and described in this paper has a good consistency with the result from the literature [6] and the test chatter rolling speed. Therefore, the asymmetric vibration model established in this paper is correct and effective.

1 AMIV 61 COMMUNISTING OF 1991 GANA MILA BIHIMANINI FORMAS							
Chatter number							
Inlet thickness $h_0 / (mm)$	0.755	0.789	0.705				
Outlet thickness $h_1 / (mm)$	0.568	0.577	0.506				
Strip width $B / (mm)$	1206	1206	1020				
Outlet tension $\sigma_1$ / (MPa)	197	189	185				
Inlet tension $\sigma_0$ / (MPa)	156	180	175				
	Test	20.33	19.83	21.67			
Critical speed $v_r$ / (m/s)	Literature	26	23.8	25.4			
	Paper	24.95	23.95	23.95			

**Table 3.** Comparisons of test data and simulation results

#### **4. Stability analysis and dynamic response**

In this section, the influences of the structure asymmetry on the system stability under the conditions of constant natural stiffness are  $k = 1/(1/k_1 + 1/k_2)$ . And the constant of total mass  $m = m_1 + m_2$  is also analyzed, respectively. In order to facilitate the analysis, let us assume that  $\zeta_k = \ln(k_2 / k_1)$ ,  $\zeta_m = \ln(m_2 / m_1)$ . Taking the structural parameters in Table 1, we can get the natural stiffness  $k = 3.45 \times 10^{10}$  N/m, total mass  $m = 406400$  kg.

### **4.1. Mathematical model of the rolling vibration**

### **4.1.1. Stability analysis under asymmetric stiffness**

In this section, the influence of upper and lower stiffness ratio on the stability of rolling mill system is analyzed under the condition of constant natural stiffness. So, let us assume that  $c_2 = c_1$ ,  $m_2 = m_1$ . The necessary and sufficient condition for a linear time-invariant system to be stable is that all the system eigenvalues or the roots of the characteristic equation possess a negative real part. As shown in Eq. (13), the characteristic matrix of the mathematical model is a six-order square, and the elements of the characteristic matrix are generally represented by complex mathematical relationships of rolling process parameters. Thus, it is difficult to achieve the eigenvalue of the system in a symbolic or analytical form. However, it is rather easy to calculate the eigenvalue with a numerical method. If all the eigenvalues have the negative real part, the system is stable, if not, the system is unstable. The result is illustrated in Fig. 4.

As shown in Fig. 4, the full line shows the critical speeds under different stiffness ratios. It is observed that the figure is symmetrical about  $\zeta_k = 0$ , which means the system stability is the same when  $k_2/k_1 = k_1/k_2$ , so the paper has just analyzed the right half of the figure. It is observed that the system unstable critical line is spliced by Line I and Line II. For a further analysis of the

system dynamics, two points (point A, point B) are taken on Line I and Line II randomly. The eigenvalue and instability type of the two points and their neighborhood are shown in Table 4.



**Fig. 4.** Influence of stiffness ratio on system stability under constant natural stiffness

<b>Table 4:</b> Eigenvalue and modernly type of point <i>i</i> x, point <i>D</i> and then neighborhood							
$v_r$ / (m/s)	$\cdot$		$\lambda_{1.2}$ (×10 <sup>2</sup> )	$\lambda_{3.4}$ (×10 <sup>2</sup> )		$\lambda_5$ (×10 <sup>2</sup> ) $\lambda_6$ (×10 <sup>2</sup> )	Instability type
25.61	$A-$	1.008	$0.005 \pm 9.43i$	$-0.137 \pm 5.95i$	$-3.503$	$-1.500$	Reverse direction instability
	А	1.018	$0.000 \pm 9.45i$	$-0.133 \pm 5.96i$	$-3.503$	$-1.501$	Stable
		$A+1.028$	$-0.004\pm9.47$ i $-0.129\pm5.96$ i		$-3.503$	$-1.501$	Critical stability
	$B-$		$1.337$ $ -0.136 \pm 10.23i$ $ -0.004 \pm 6.00i $		$-3.490$	$-1.499$	Stable
	в		$1.347$ $-0.140 \pm 10.26$ $0.000 \pm 6.00$		$-3.490$	$-1.499$	Critical stability
			$B+ 1.357 $ -0.144 $\pm$ 10.29i   0.004 $\pm$ 6.00i		$-3.489$	$-1.499$	Same direction instability

**Table 4.** Eigenvalue and instability type of point A, point B and their neighborhood

As it can be seen from Table 4, at the rolling speed of 25.61 m/s, all the eigenvalue of the system has the negative real part when  $\zeta_k > 1.018$ , what means the system is stable at the moment. When  $\zeta_k = 1.018$ , a pair of pure imaginaries appears in the system, and this pair of conjugate eigenvalue  $\lambda_{1,2}$  represents the characteristic of the same direction modal defined in section 2.1, what means the system is in the critical state this time. However, when  $\zeta_k < 1.018$ , the real parts of eigenvalue  $\lambda_{1,2}$  becomes positive, in other words, the same direction vibration modal loses its stability. Therefore, Line I is the critical boundary of the same direction vibration modal. Similarly, Line II is the critical boundary of the reverse direction vibration modal. Line I, Line II and  $\zeta_k$ coordinate axis form the region  $\Omega_1$ , where the system is stable. The system is unstable in other regions.

As it can be seen from the above analysis, when the stiffness difference in upper and lower parts is small, the stable domain in the system is determined by Line I. At the lower side of Line I the system is stable, and the system is unstable and vibrates in the reverse direction at the upper side of Line I. However, when the stiffness difference in upper and lower parts is large, the stable domain in the system is determined by Line II. At the lower side of the Line II, the system is stable, and the system is unstable and vibrates in the same direction at the upper side of Line II. There is an optimal stiffness distribution ratio at the intersection of two lines, namely  $\zeta_k = 1.247$ , where the mill system is the most stable. In these three regions around the optimal stiffness ratio, the system vibration modal is different, and there is a modal conversion phenomenon there. On the left of the optimal stiffness ratio, the region  $\Omega_2$ , which is enclosed by Line I and Line II, is unstable and vibrates in the reverse direction. On the right of the optimal stiffness ratio, the region  $\Omega_3$ which is enclosed by Line I and Line II is unstable and vibrates in the same direction. Above the optimal stiffness ratio, the region  $\Omega_4$ , which is enclosed by Line I and Line II, is unstable with two modes.

# **4.1.2. Dynamic response at unstable points**

Moreover, in order to verify the correctness of the system stability analysis, the system motions corresponding to the unstable point A– and point B+ are numerically simulated. For an initial disturbance  $y_1 = 10^{-6}$  m, the simulation results are shown in Figs. 5-6. And the time domain from 3.98 s to 4 s is taken to compare the instability types of  $y_1$  and  $y_2$  in Fig 7.



**Fig. 5.** Dynamic system response at A– for  $\zeta_k = 1.008$ ,  $vr = 25.61$  m/s



**Fig. 6.** Dynamic system response at B+ for  $\zeta_k = 1.357$ ,  $v_r = 25.61$  m/s



As shown in Fig. 5, the system is unstable and vibrates with the second-order natural frequency at the condition of  $\zeta_k = 1.008$ ,  $v_r = 25.61$  m/s. As shown in Fig. 7, the upper rolls and lower rolls vibrate in the reverse direction. Therefore, the system is unstable with the reverse direction vibration modal. As shown in Fig. 6, the system is unstable with the first-order natural frequency at the condition of  $\zeta_k = 1.357$ ,  $v_r = 25.61$  m/s. As shown in Fig. 7, the upper rolls and lower rolls vibrate in the same direction. Therefore, the system is unstable with the same direction vibration modal. The calculation results and analysis conclusions in section 4.1.1 were proved to be correct.

## **4.1.3. Influence of asymmetric stiffness on the rolling mill stability under different structural parameters**

In order to analyze the effect of asymmetric stiffness on the rolling mill stability under different structural parameters, the system stability is studied under different natural stiffness value, mass value and damping value, respectively. The simulation results are shown in Fig. 8-10.



**Fig. 8.** Influence of asymmetric stiffness on the rolling mill stability at different natural stiffness



**Fig. 9.** Influence of asymmetric stiffness on the rolling mill stability at different mass

As shown in Figs. 8-10, with increasing of the natural stiffness and mass, the optimal stiffness ratio gets smaller. With the damping increase, the optimal stiffness ratio gets bigger. However, with the change of natural stiffness value, mass value and damping value, the influence rule of stiffness ratios on the mill system stability has not changed, it only changes the position of the optimal stiffness ratio. The stiffness ratio of the rolling mill can be adjusted appropriately under the premise of meeting the other design requirements to obtain the optimal stiffness ratio, which makes the rolling mill system more stable.

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**Fig. 10.** Influence of asymmetric stiffness on the rolling mill stability at different damping

#### **4.2. Stability analysis and dynamic response under asymmetric mass**

In this section, the influence of mass ratio on the stability of rolling mill system is analyzed under the condition of constant total mass, by assuming that  $k_2 = k_1$ ,  $c_2 = c_1$ .



**Fig. 11.** Influence of mass ratio on system stability under constant total mass

As shown in Fig. 11, the influence of asymmetric mass on the system stability is similar to the asymmetric stiffness. Specific analysis methods and procedures are described in section 4.1.1, so we do not repeat them here. The full line shows the critical speeds under different mass ratios. Line I, Line II and  $\zeta_m$  axis form the region  $\Omega_1$ , where the system is stable. The system is unstable in other regions. When the mass difference in upper and lower parts is small, the stable domain in the system is determined by Line I. At the lower side of Line I the system is stable, and the system is unstable and vibrates in the reverse direction at the upper side of Line I. However, when the mass difference in asymmetry upper and lower parts is large, the stable domain in the system is determined by Line II. At the lower side of Line II the system is stable, and the system is unstable and vibrates in the same direction at the upper side of Line II. There is an optimal mass distribution ratio at the intersection of two lines, namely  $\zeta_m = 1.627$ , where the mill system is the most stable. In three regions around the optimal mass ratio, the system vibration modal is different, and there is a modal conversion phenomenon. On the left of the optimal mass ratio, the region  $\Omega_2$ , which is enclosed by Line I and Line II, is unstable and vibrates in the reverse direction. On the right side of the optimal mass ratio, the region  $\Omega_3$ , which is enclosed by Line I and Line II, is unstable and vibrates in the same direction. Above the optimal mass ratio, the region  $\Omega_4$ , which is enclosed by Line I and Line II, is unstable in two modes.

Under the condition of structural parameters changing, the effect of asymmetric mass on the rolling mill stability is in line with the effect of asymmetric stiffness on the rolling mill stability. With the change of stiffness value, total mass value and damping value, the influence rule of mass ratios on the mill system stability has not changed. It only changes the position of the optimal mass ratio. The mass ratio of the rolling mill can be adjusted appropriately under the premise of meeting other design requirements to obtain the optimal mass ratio, which makes the rolling mill system more stable.

The results verify that asymmetric structure parameters have a significant impact on the system stability and critical conditions. And the study of the influence of asymmetric structure parameters on the rolling mill stability will help us to study the vibration modes of the rolling mill more comprehensive. It is necessary to consider the asymmetry of mill structure, and it can provide a guidance for dynamic system structure modifications and mill optimization design.

## **5. Conclusions**

This paper described a constructed rolling mill dynamic model by coupling the structure mode which considered the structure asymmetry, and the rolling process model which considered the asymmetric vibration. The following conclusions are obtained:

1) The asymmetric vibration model established in this paper has the correctness and effectiveness by comparing test data and reference simulation results with the simulation results of this paper.

2) Asymmetric structure parameters have a significant impact on the system stability and critical speed. The mill system obtains the highest critical rolling speed that can ensure the best stability at the optimal stiffness ratio and optimal mass ratio. With the change of structure parameter values, the influence rule of stiffness ratios and mass ratios on the mill system stability does not change, it only changes the position of the optimal ratio.

3) With the degree of asymmetry in stiffness and mass increase, the phenomenon of modal conversion occurs. When the stiffness ratio and mass ratio are smaller than the optimal ratio, the upper rolls and lower rolls vibrate in the reverse direction with the second-order natural frequency in the unstable domain. When the stiffness ratio and mass ratio are larger than the optimal ratio, the upper rolls and lower rolls vibrate in the same direction with the first-order natural frequency in the unstable domain.

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## **Appendix**

Coefficients of Eq. (6):

$$
a_{F,\sigma_{0}} = -0.7B\bar{Q}_{p}\bar{l}, \quad a_{F,\sigma_{1}} = -0.3B\bar{Q}_{p}\bar{l},
$$
  
\n
$$
a_{F,h_{0}} = B\bar{Q}_{p}\bar{l}\left(\frac{\partial K}{\partial h_{0}}\right)_{s} + (\bar{K} - \bar{\sigma}_{m})B\bar{l}\left(\frac{\partial Q_{p}}{\partial h_{0}}\right)_{s} + (\bar{K} - \bar{\sigma}_{m})B\bar{Q}_{p}\sqrt{\frac{R'}{4(\bar{h}_{0} - \bar{h}_{c})}},
$$
  
\n
$$
a_{F,h_{c}} = B\bar{Q}_{p}\bar{l}\left(\frac{\partial K}{\partial h_{1}}\right)_{s} + (\bar{K} - \bar{\sigma}_{m})B\bar{l}\left(\frac{\partial Q_{p}}{\partial h_{1}}\right)_{s} - (\bar{K} - \bar{\sigma}_{m})B\bar{Q}_{p}\sqrt{\frac{R'}{4(\bar{h}_{0} - \bar{h}_{c})}}a_{F,h_{c}}
$$
  
\n
$$
= -\frac{R'\bar{h}_{c}}{2\bar{v}_{r}\bar{h}_{n}}(\bar{K} - \bar{\sigma}_{m})B\bar{Q}_{p},
$$
  
\n
$$
a_{v_{0},\sigma_{0}} = \frac{\bar{v}_{r}\bar{x}_{n}}{2R'\bar{h}_{0}\mu_{m}(\bar{K} - \bar{\sigma}_{m})\bar{Q}_{p}}\left(-\bar{h}_{0} + 0.7\frac{\sigma_{1}h_{1} - \sigma_{0}h_{0}}{\bar{K} - \bar{\sigma}_{m}}\right),
$$
  
\n
$$
a_{v_{0},\sigma_{1}} = \frac{\bar{v}_{r}\bar{h}_{n}}{4R'\bar{h}_{0}\mu_{m}(\bar{K} - \bar{\sigma}_{m})\bar{Q}_{p}}\left(\bar{h}_{1} + 0.3\frac{\sigma_{1}h_{1} - \sigma_{0}h_{0}}{\bar{K} - \bar{\sigma}_{m}}\right),
$$
  
\n
$$
a_{v_{0},h_{0}} = -\frac{\bar{v}_{r}\bar{h}_{n}}{\bar{h}_{0}} + a_{x_{n},h_{0}}\frac{2\bar{v}_{r}\bar{x}_{n}}{R'\bar{h}_{0}}, \quad a_{v_{0},h_{c}} = \frac{\bar{v}_{r}}{\bar{h}_{0}} + a_{x_{n},h_{c}}
$$

The intermediate variables are given as follows:

$$
\left(\frac{\partial K}{\partial h_0}\right)_s = -\frac{b_0 A n}{H} \left[\frac{b_0 \left(H - \bar{h}_0\right)}{H} + \frac{b_1 \left(H - \bar{h}_1\right)}{H}\right]^{n-1},
$$
\n
$$
\left(\frac{\partial Q_p}{\partial h_0}\right)_s = \frac{1.79 \mu_m \sqrt{R'} \left(-0.5 \bar{h}_0 + 1.5 \bar{h}_1\right)}{\bar{h}_0^{2.5}} - \frac{1.02 \bar{h}_1}{\bar{h}_0^2},
$$

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$$
\begin{split}\n&\left(\frac{\partial K}{\partial h_1}\right)_s = \frac{b_1 An}{H} \left[\frac{b_0 \left(H - \bar{h}_0\right)}{H} + \frac{b_1 \left(H - \bar{h}_1\right)}{H}\right]^{n-1}, \\
&\left(\frac{\partial Q_p}{\partial h_1}\right)_s = -\frac{1.79 \mu_m \sqrt{R'}}{\bar{h}_0} + \frac{1.02}{\bar{h}_0}, \quad \left(\frac{\partial x_n}{\partial h_0}\right)_s = -\frac{\sigma_0}{4 \mu_m (\overline{K} - \bar{\sigma}_m) \overline{Q_p}}, \\
&\left(\frac{\partial x_n}{\partial \alpha}\right)_s = \frac{R'}{2} \cos \overline{\alpha} - \frac{R'}{2 \mu_m} \sin \overline{\alpha}, \quad \left(\frac{\partial x_n}{\partial K}\right)_s = -\frac{\sigma_1 h_1 - \sigma_0 h_0}{4 \mu_m (\overline{K} - \bar{\sigma}_m)^2 \overline{Q_p}}, \\
&\left(\frac{\partial x_n}{\partial Q_p}\right)_s = -\frac{\sigma_1 h_1 - \sigma_0 h_0}{4 \mu_m (\overline{K} - \bar{\sigma}_m) \overline{Q_p}^2}, \quad \left(\frac{\partial x_n}{\partial h_1}\right)_s = \frac{\sigma_1}{4 \mu_m (\overline{K} - \bar{\sigma}_m) \overline{Q_p}}, \\
&a_{x_n, h_0} = \left(\frac{\partial x_n}{\partial h_0}\right)_s + \left(\frac{\partial x_n}{\partial \alpha}\right)_s \frac{1}{2 \sqrt{R'(\overline{h}_0 - \overline{h}_c)}} + \left(\frac{\partial x_n}{\partial K}\right)_s \left(\frac{\partial K}{\partial h_0}\right)_s + \left(\frac{\partial x_n}{\partial Q_p}\right)_s \left(\frac{\partial Q_p}{\partial h_0}\right), \\
&a_{x_n, h_c} = \left(\frac{\partial x_n}{\partial h_1}\right)_s - \left(\frac{\partial x_n}{\partial \alpha}\right)_s \frac{1}{2 \sqrt{R'(\overline{h}_0 - \overline{h}_c)}} + \left(\frac{\partial x_n}{\partial K}\right)_s \left(\frac{\partial K}{\partial h_1}\right)_s + \left(\frac{\partial x_n}{\partial Q_p}\right)_s \left(\frac{\partial Q_p}{\partial h_1}\right).\n\end{split}
$$



**Jinlei Huang** is a Ph.D. student at School of Mechanical Engineering, University of Science and Technology Beijing, Beijing, China. His current research interests include rolling equipment and mechanical dynamics.



**Yong Zang** received Master degree in School of Mechanical Engineering, University of Science and Technology Beijing, Beijing, China, in 1986. He is now a Professor at School of Mechanical Engineering, University of Science and Technology Beijing, Beijing, China. His research interests include metal working and mechanical dynamics.



**Zhiying Gao** received Ph.D. degree in School of Mechanical Engineering from Polytechnical University, Xian, China, in 2005. She is now an Associate Professor at School of Mechanical Engineering, University of Science and Technology Beijing, Beijing, China. Her research interests include mechanical dynamics and nonlinear dynamics.



**Lingqiang Zeng** received Ph.D. degree in School of Mechanical Engineering, University of Science and Technology Beijing, Beijing, China, in 2017. His current research interests include rolling equipment and mechanical dynamics.