

# 1910. A three-dimensional finite element modelling of human chest injury following front or side impact loading

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**Abstract.** Based on anatomical features of a 50th percentile adult male, three-dimensional (3D) finite element (FE) models of ribs, sternum, vertebrae, intervertebral discs, clavicle, scapula, pelvis, skin, head, muscles and limbs were developed in this study. After integrating/assembling various organs and tissues, a bio-mechanical FE model of the human body with adult male characteristics was produced. Furthermore, a chest frontal and lateral collision theory model was built and was validated by using previously published data from corpse frontal and lateral chest impact collision experiments. Good agreements were found between the simulation results of our model and the experimental data as well as theoretical calculations in the contact force, sternum displacement, and force-displacement response. These data suggest that this 3D FE model is effective and has good bio-fidelity in assessing chest biomechanical responses and thoracic injuries upon impact loading. Therefore this model can potentially be useful for evaluating thoracic injuries in car crashes and assessing chest rib fractures and internal organ/tissue damages.

**Keywords:** impact loading, chest injury, finite element model.

## 1. Introduction

Along with the more scientific, comprehensive and stringent requirements of the more advanced automotive industry, new research questions and challenges have been raised in the mechanics of human impact trauma injuries. Through human injury biomechanics studies, data can be obtained on biomechanical responses and resilience of various tissues or organs of the human body during and following the collision course, which can be used to develop appropriate injury classification criteria, and design more anthropomorphic and realistic research dummies. Ultimately, through conducting dummy collision experiments and assessing the damages to the dummy, the car's safety can be improved [1]. As the global population ages and there are more elderly drivers, the incidence and mortality from chest injuries are increasing [2, 3]. Currently, chest injuries in the elderly drivers cannot be accurately assessed and distinguished by the current legal evaluation criteria [4]. Thus, more in-depth studies are required with the chest impact load dynamic responses [5].

In the past few decades, experimental tests of chest impact injuries have been carried out with post mortem human subjects (PMHS) [6-9]. However, due to the considerable time involvement and ethical concerns, in the present time, such experiments have been very difficult to carry out. The biomechanical model is thus the most scientific and effective alternative to solve such problems. As one major musculoskeletal organ structure protecting the internal organs, mechanisms of chest and rib damages have increasingly attracted attention of researchers. A rib fracture upon collision under load is one evaluation index for serious chest injuries [10], and

research that has been carried out so far has been mainly on material properties of experimental research ribs [11]. While three-point bending tests and tensile tests of the ribs have been the most common and most effective way to study the rib material properties [12], researchers have also conducted a number of simulation studies [13, 14]. Studies related to rib fractures have shown that simulation is an effective method to investigate rib material characteristics and biomechanics, as the extents of damage can be analyzed by changing the simulation parameters. However, low velocity impact responses of the entire chest and rib fractures under load have been less studied [15]. For the automotive crash safety concern, to understand and appropriately assess the damage responses of the entire chest in the case of a low velocity collision is essential and vital because seat belt is the only protection mechanism to passengers under this situation, and the car frontal crash safety and seat belt regulations are all established based on the overall response of the entire chest.

In this study, an effective biomechanical model of the adult male human thorax was therefore established and validated using data from reported corpse experiments and conducted simulations for the low speed front and side crashes. Finally, the validated model is used to verify the impact of the loads on chest injury and rib fractures.

## 2. Materials and methods

### 2.1. Human biomechanics finite element (FE) model

Through computer tomography (CT) scanning (slice thickness of 0.6 mm) of the head and neck, chest and abdomen, upper and lower limbs and other body tissues of a 35-year-old 50th percentile male of medium-build characteristics (height 172 mm and weight 72 kg), DICOM data and precise geometric information of human tissues were obtained. Using 3D tomographic CT image reconstruction technology, the correctly aligned slices were stacked to build 3D models of body organs/tissues and their geometries. Using the medical modelling software MIMICS to build the model, Fig. 1 shows the head and chest-abdominal CT scan images. To produce a smooth surface geometric surface model, with the GEOMAGIC software, CT point cloud images were processed for noise reduction, filtering and smoothing according to the selected point cloud image accuracy, so that they were aligned the best way to fit in and between the surface layers.

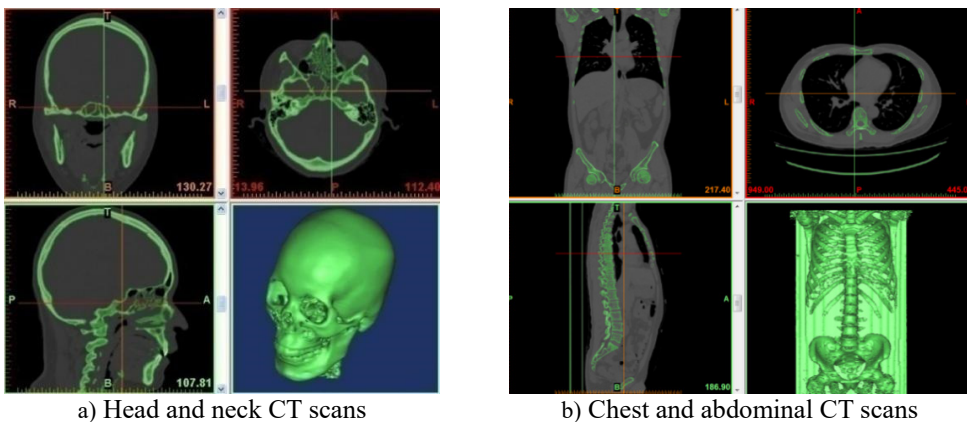


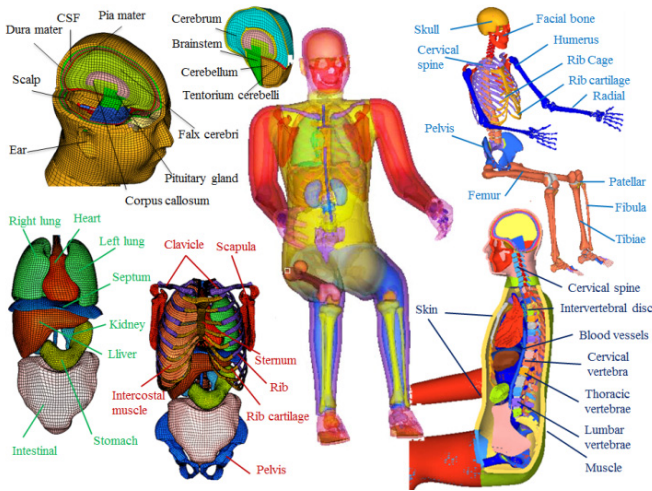
Fig. 1. Human body computed tomography (CT) scan images

Then, the accurate surface model was developed by using Germanic Studio 12.0 (Geomagic, Research Triangle Park, NC, USA). Subsequently, the model was meshed using Hypermesh 11.0 (Altair Engineering, Inc., Troy, Michigan, USA). Finally, it was developed into the adult human head 3D FE model. In addition, for simulation, analysis and calculation were conducted using LS-DYNA 971 (Livermore Software Technology Corporation, Livermore, California, USA).

The entire model contains about 300 components, 905762 nodes and 1038760 units and weighs 72 kg. The element numbers and element types of the human finite element model are shown in Table 1. The model also describes the anatomical structures of the main tissues of the human chest (Fig. 2).

**Table 1.** Element number and element type of the human finite element model

	Components	No. of elements	Element type
Head	Scalp	12772	Solid (SectSld)
	Compact bone of cranial bone	24638	Solid (SectSld)
	Cancellous bone of cranial bone	9844	Solid (SectSld)
	Facial bone	6010	Solid (SectSld)
	Dura mater	4826	Shell (SectShll)
	Pia mater	4826	Shell (SectSld)
	Cerebrospinal fluid	9852	Solid (SectSld)
	Cerebrum	24502	Solid (SectSld)
	Cerebellum	8512	Solid (SectSld)
	Brainstem	1792	Solid (SectSld)
	Corpus callosum	944	Solid (SectSld)
	Falx cerebri	601	Shell (SectShll)
	Tentorium cerebelli	462	Shell (SectShll)
	Pituitary Gland	448	Solid (SectSld)
Ear	544	Shell (SectSld)	
Thorax	Cortical bone of ribs	23917	Shell (SectSld)
	Cancellous bone of ribs	23287	Solid (SectSld)
	Sternum cortical bone	2726	Shell (SectSld)
	Sternum cancellous bone	4267	Solid (SectSld)
	Vertebrae cortical bone	46032	Shell (SectSld)
	Vertebrae cancellous bone	76012	Solid (SectSld)
	Rib cartilage	8915	Solid (SectSld)
	Disc	13460	Solid (SectSld)
	Intercostal muscle	25601	Shell (SectSld)
others	16504	Shell (SectSld)	
Limbs	Upper compact bone	25126	Shell (SectSld)
	Upper cancellous bone	26546	Solid (SectSld)
	Lower limb cortical bone	32500	Shell (SectSld)
	Lower limb cancellous bone	96731	Solid (SectSld)
	Others	8945	Solid (SectSld)
Abdomen	Pelvic cortical bone	20086	Shell (SectSld)
	Pelvic cancellous bone	24775	Solid (SectSld)
	Sacrum compact bone	18843	Shell (SectSld)
	Sacrum cortical bone	28465	Solid (SectSld)
	Others	15621	Solid (SectSld)
Internal organs	Skin	19500	Shell (SectSld)
	Muscle	64476	Solid (SectSld)
	Heart	8802	Solid (SectSld)
	Lung	33654	Solid (SectSld)
	Liver	11106	Solid (SectSld)
	Stomach	12798	Solid (SectSld)
	Intestine	5951	Solid (SectSld)
	Kidney	5552	Solid (SectSld)
	Septum	1697	Shell (SectSld)
Vascular / bronchus	1868	Shell (SectSld)	
Others	Joints and ligaments	124200	Solid (SectSld)
Total		1038760	



**Fig. 2.** An entire human finite element model

**Table 2.** Human bony elastic/plastic material parameters [1-4, 16-20]

Anatomy structure	Density (g/cm <sup>3</sup> )	Young's modulus (GPa)	Poisson's ratio	Yield strength (MPa)	Tangent modulus (GPa)	Hardening parameters	Cowper-Symonds parameters		Plastic failure strain (%)
							C	P	
Cortical bone ribs	2	11.5	0.3	120	1.15	0.1	2.5	7	2
Cancellous bone ribs	1	0.04	0.45	2.2	0.01	0.1	2.5	7	3
Sternum cortical bone	2	12	0.3	120	1.15	0.1	2.5	7	2
Sternum cancellous bone	1	0.04	0.3	2.2	0.01	0.1	2.5	7	3
Cervical cortical bone	2	12	0.3	100	-	-	-	-	-
Cervical cancellous bone	1	1	0.3	8.3	-	-	-	-	-
Upper cortical bone	2	11	0.3	110	-	-	-	-	-
Upper cancellous bone	1	1.1	0.3	7.7	-	-	-	-	-
Lower limb cortical bone	2	18.5	0.3	146	-	-	-	-	-
Lower limb cancellous bone	1	0.15	0.45	30.6	-	-	-	-	-
Pelvic cortical bone	2	17	0.29	180	-	-	-	-	-
Pelvic cancellous bone	1	0.07	0.4	70	-	-	-	-	-
Sacrum cortical bone	2	17	0.29	180	-	-	-	-	-
Sacrum cancellous bone	1	0.07	0.29	70	-	-	-	-	-

For the chest FE model, material parameters of the various tissues were based on published experimental data on tissue materials from other researchers as well as parameters selected from

some existing human biomechanical models in the literature [1-4, 12-13, 16-23]. For the bone tissues, the constitutive elastic/plastic model was used. For skin, cartilage, blood vessels, bronchial and other, the linear elastic material model were used. For intervertebral discs, muscles, heart, lungs and other soft tissues, a viscoelastic material model was employed. The body's main bony elastic/plastic material parameters are shown in Table 2. The chest and abdominal tissue linear elastic/viscoelastic material parameters are shown in Table 3, and head material parameters are shown in Table 4.

**Table 3.** Chest and abdomen linear elastic/viscoelastic material parameters [1, 2, 12, 13, 21, 22]

Anatomy structure	Density (kg/m <sup>3</sup> )	Young's modulus (GPa)	Poisson's ratio	Short shear modulus (kPa)	Long shear modulus (kPa)	Bulk modulus (MPa)
Rib cartilage	1600	1.2	0.2	–	–	–
Vascular / bronchus	1000	0.5	0.45	–	–	–
Skin	1000	0.035	0.42	–	–	–
Muscle	1100	–	–	140	40	1.33
Heart	1000	–	–	20	75	0.22
Lung	600	–	–	67	65	2.19
Disc	1040	–	–	32	18	307

**Table 4.** Head material parameters [23, 24]

Head anatomy structure	Density, $\rho$ (kg/m <sup>3</sup> )	Young's modulus, $E$ (MPa)	Poisson's ratio, $\nu$	Shear modulus (kPa), $G(t) = G_0 + (G_0 - G_\infty)e^{-\beta t}$	Decay constant, $\beta$ (s <sup>-1</sup> )	Bulk modulus, $K$ (GPa)
Scalp	1000	16.7	0.42			
Compact bone of Cranial bone	2000	15000	0.22			
Cancellous bone of Cranial bone	1300	1000	0.24			
Facial bone	5000	21000	0.23			
Dura mater	1130	31.5	0.23			
Pia mater	1130	11.5	0.45			
Cerebrospinal fluid	1000		0.49	50		2.19
Cerebrum	1040			$G_0 = 1.66, G_\infty = 0.928$	16.95	5.57
Cerebellum	1040			$G_0 = 1.66, G_\infty = 0.928$	16.95	5.57
Brainstem	1040			$G_0 = 1.66, G_\infty = 0.928$	16.95	5.57
Corpus callosum	1140	31.5	0.45			
Falx cerebri	1140	31.5	0.45			
Tentorium cerebelli	1140	31.5	0.45			
Pituitary Gland	1140	31.5	0.45			
Ear	1000	16.7	0.42			

## 2.2. Front and side thorax theoretical models

Lobdell established a simplified chest frontal impact mathematical lumped mass model [25] as shown in Fig. 3.  $m_1$  is the mass of the impactor, wherein the initial velocity  $y_1'(0)$  is known. The total mass of the sternum and the ribs of the surrounding portion of the chest is  $m_2$ . The sum of the mass of thoracic spine and the entire spine-related body mass connected by a collision impact is  $m_3$ . Spring constant  $k_1$  between the impactor and the sternum represents the skin and muscle elasticity. The mass  $m_2$  and the mass  $m_3$  exhibit viscous and elastic properties. They are represents the resilience  $k_2$  of the ribs and the damping effect  $c_1$  provided by the surrounding structures as well as the heart and lungs. A paralleled material model ( $k_3, c_2$ ) also indicates viscoelasticity of the chest. The responses of its each part can be calculated by Eqs. (1)-(4), in which the formula parameters used are shown in Table 5:

$$m_1 \frac{d^2 y_1}{dt^2} = -k_1(y_1 - y_2), \tag{1}$$

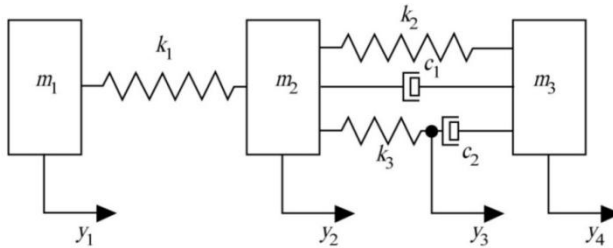
$$m_1 \frac{d^2 y_2}{dt^2} = -k_1(y_1 - y_2) - k_2(y_2 - y_4) - k_3(y_2 - y_3) - c_1 \left( \frac{dy_2}{dt} - \frac{dy_4}{dt} \right), \tag{2}$$

$$c_2 \left( \frac{dy_3}{dt} - \frac{dy_4}{dt} \right) = k_3(y_2 - y_3), \tag{3}$$

$$m_3 \frac{d^2 y_4}{dt^2} = k_2(y_2 - y_4) + c_2 \left( \frac{dy_3}{dt} - \frac{dy_4}{dt} \right) + c_1 \left( \frac{dy_2}{dt} - \frac{dy_4}{dt} \right). \tag{4}$$

**Table 5.** Theory model parameters [25]

$m_1$	$m_2$	$m_3$	$k_1$	$k_2$	$k_3$	$c_1$	$c_2$	$c_3$
23.4 kg	0.47 kg	27.2 kg	2.81 kN/cm	0.263 kN/cm	0.132 kN/cm	0.52 kN/m	1.23 kN/m	0.18 kN/m



**Fig. 3.** Thorax collision theory models [25]

### 2.3. Chest frontal crash test model validation

Validation of the chest finite element model established above was carried out mainly utilizing published data from previous corpse body impact tests. For low-speed and high-mass car frontal collision, the references of Kroell (1971, 1974) and other studies were used. These low-speed crash impact tests used cylindrical wooden body impactors of ~1.64-23.59 Kg and 15.24 cm diameter and a group of 38 corpses [26]. In these experiments, 4.9-14.3 m/s initial velocity impact was used to hit the middle of the sternum between the fourth rib and the fifth rib, with corpse’s arms being constrained in horizontal positions. The impact force was measured by a load cell installed on the impactor, and the deformation of the chest was recorded by using a high-speed camera. For the purpose of analyzing the chest injuries, basic anthropometric data for the five male corpses are shown in Table 6, which were used for the 6.7 m/s and 23.4 kg impactor impact tests.

**Table 6.** Chest front crash test sample data [26]

Experiment numbers	Age	Mass (kg)	Chest thickness (mm)
15	80	53	200
18	78	66	219
19	19	66	203
20	29	57	203
22	72	75	225

Frontal crash simulation was defined as the impact of the impactor at 6.7 m/s initial velocity hitting the sternum between the fourth and fifth ribs. The corpse was set in a sitting position with arms restraint, and the rigid impactor was 15.24 cm in diameter and 23.4 kg in weight. When the wooden impactor hit the chest, the impactor did not deform substantially. To match the contact force of skin contact during the experiment, the type of skin contact with the impactor was defined as Contact-Automatic-Surface-to-Surface, and the friction coefficient was set at 0.3. The type of contact among chest muscle, ribs and chest muscles surfaces was defined as

Contact-Tied-Surface-to-Surface, and the friction coefficient was set at 0.3. The type of contact of the entire human tissue was defined as Contact-Automatic-Single-Surface, and the friction coefficient was set at 0.2. The chest frontal impact experimental test and simulation are shown in Fig. 4.

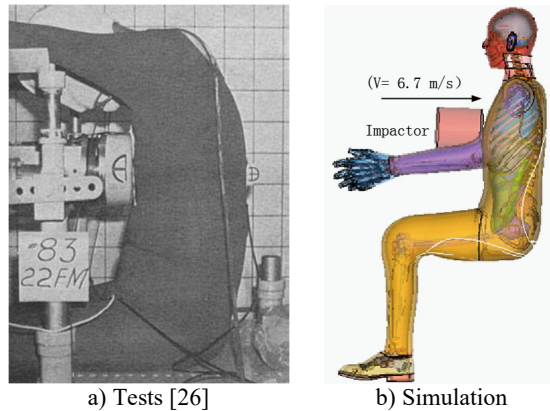


Fig. 4. Kroell frontal crash test and simulation

## 2.4. Chest side impact simulation

So far, PMHS body side impact tests have been carried out [29], which used a wood impactor of 50 kg in weight and 152.4 mm in diameter impacting on the lateral center of the sternum of the sixth rib while the corpse body movement was restricted. For the side impact simulation, the impactor was defined as a rigid body with the loading condition of 5.6 m/s initial velocity hitting the side of the chest. Similarly, simulation time was 60 ms and the corpse was set in a sitting position with arms/hands restraint. The rigid impactor was 15.24 cm in diameter and 50 kg in weight. The chest side impact experimental test and simulation are shown in Fig. 5.

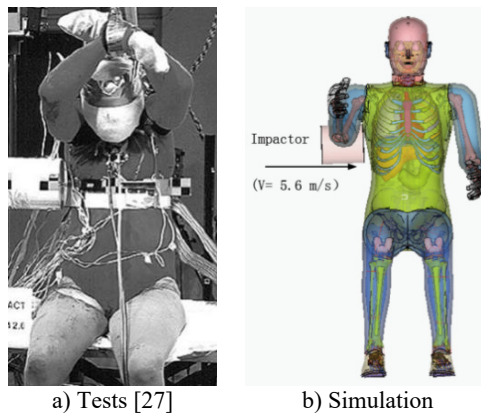
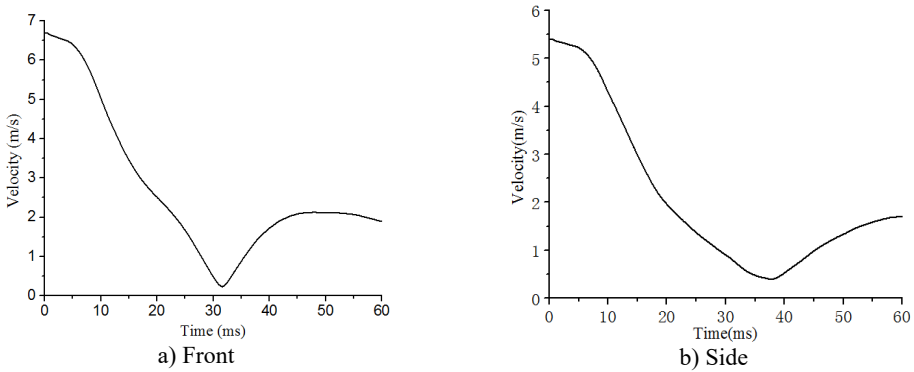


Fig. 5. Side impact test and simulation

## 3. Results and discussion

In the chest frontal or lateral collision simulation with the impact having the 6.7/5.6 m/s initial velocity, the time course speed changes of the impactor are shown in Fig. 6. After touching the chest, impactor speed decreased rapidly, reaching a minimum of 32/38 ms. It slowed down mainly due to the absorption of the impact energy by the body resulting from deformation of the body's various tissues. When the speed reached a minimum after nearly 0.2/0.5 m/s, the energy generated

by the impact was fully absorbed by the body. After this point, chest muscles and ribs generated a certain rebound force, causing the rebound velocity increasing to 2 m/s at the end of the impact process.



**Fig. 6.** Time course changes of impact velocity of the impactor

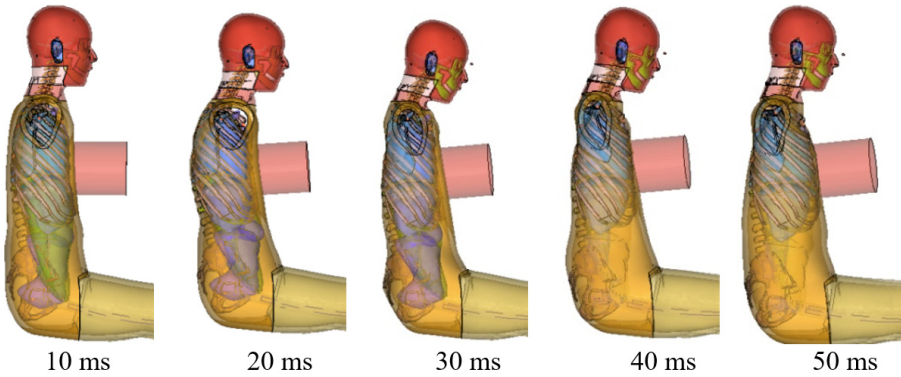
Time course changes of the chest breastbone and ribs were also simulated following the frontal collision impact (Fig. 7). Fig. 7(a) shows the simulated results at 15, 30, 45, and 60 ms following the impact. At the early stage (0-15 ms) chest started to show deformation. At 30 ms, the deformation of the chest reached the maximum. During 30-60 ms, the chest displayed the rebound process. Fig. 7(b) shows the sternum and rib fracture states at the time with the maximum deformation at the front of the chest. As shown, although there were no obvious signs of completely broken bones in the chest or ribs, the 2nd, the 3rd, and the 4th ribs, the breastbone and some cartilage showed signs of fractures.

In addition, the simulation also produced a sternum force - displacement curve, with the output nodes set at the centers of the impactor and the sternum, and the simulation results have been compared with experimental test results [26] (Fig. 7(c)). It can be seen that the simulated impact force-displacement curve is between the upper and lower limits of the experimental curves. Through comparisons of the experimental and simulation results for the chest front impact, a good correspondence of the chest front impact force – deformation relationship can be observed. These comparisons indicate that our simulation results are consistent with published data of corpse experiments [26]. Furthermore, when compared with the calculation results of a theoretical model [25], our simulation results show a similar trend of chest impact responses. These data indicate that our simulation model has a good validity in predicting biomechanics responses and chest injuries following a frontal collision.

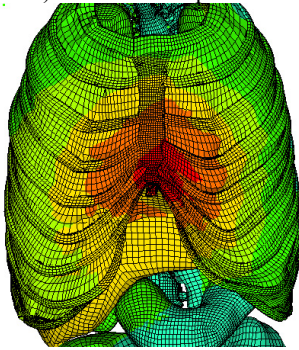
Similarly, time course changes in the chest were also simulated following the lateral collision impact (Fig. 8). Fig. 8(a) shows the simulation results at 10, 20, 30, 40, and 50 ms following the impact. At the early stage (0-10 ms), the chest started to deform. During 10-50ms, the deformation reached the maximum. During 50-60 ms, the chest rebounded. At the time with the maximum deformation at the side of the chest, rib fracture state (Fig. 8(b)) showed signs of displaced fractures of the 7th, 8th, and 9th ribs.

Comparison between our simulation results of the sternum force-displacement curve with the published experimental data with corpses [27] also shows that the simulated sternum force curve sits in between the upper and lower limits of the experimental results [27] for the chest side impact (Fig. 8(c)). Again, by comparing the theoretical model [25], the experimental [27] and simulation results, a close similarity of the side impact force-deformation relationship can be observed. These comparisons suggest that our simulation model also has a good validity in predicting biomechanics responses and chest injuries following a side collision.

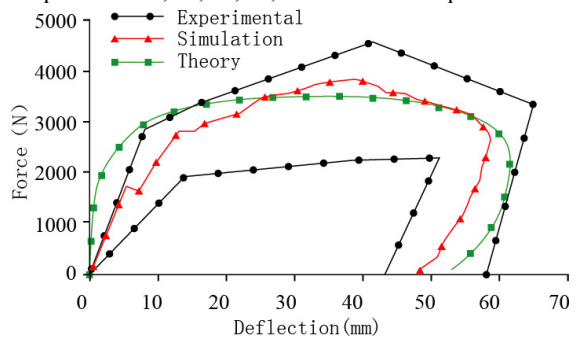




10 ms                      20 ms                      30 ms                      40 ms                      50 ms  
 a) Chest front impact biomechanical responses at 10, 20, 30, 40, or 50 ms after impact

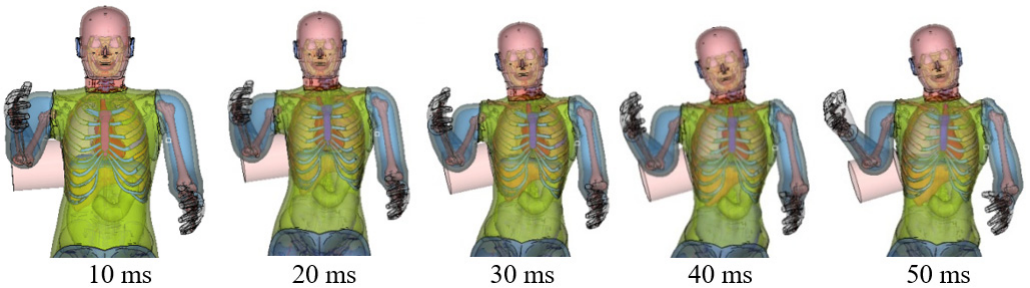


b) Chest front impact rib fracture status

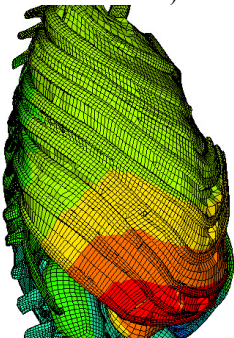


c) Chest front impact force – deformation curve

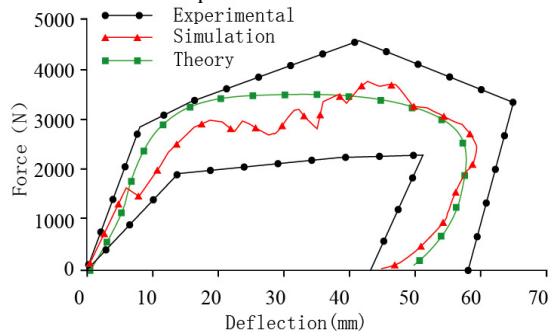
**Fig. 7.** Simulation of chest front impact biomechanical responses, fracture state, and impact force-deformation curve



10 ms                      20 ms                      30 ms                      40 ms                      50 ms  
 a) Side-impact thorax biomechanical response



b) Side impact rib fracture status



c) Side impact force – deformation curve

**Fig. 8.** Simulation of chest side impact biomechanical responses, fracture state, and impact force-deformation curve

Study design: Zhihua Cai and Liping Wang. Study conduct: Liping Wang and Cory J. Xian. Data collection: Zhihua Cai, Zemin Li and Zhihui Xiao. Data analysis: Zhihua Cai and Zemin Li. Data interpretation: Zhihua Cai and Zemin Li. Drafting manuscript: Zhihua Cai and Liping Wang. Revising manuscript content: Zhihua Cai, Liping Wang, HungYao Hsu and Cory J. Xian. All authors have read and approved the final submitted manuscript.

#### 4. Conclusion

In this study, a 3D FE model of the chest based on anatomical features of a 50th percentile adult male was developed. This model was validated by using previously published data from corpse chest impact experiments of the front and side chest impact collisions, where good agreements were found between the experimental data and our model simulation in force-displacement response in the collisions. This validated model was found to be able to accurately simulate characteristics of chest rib fractures and soft tissue injuries. Therefore, this validated 3D FE chest model can be potentially useful for investigating human chest injuries under front or side impact loading.

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